2019 Workplan and finance update

Members' Assembly, Brussels

11 September 2019

iati International Aid Transparency Initiative

Overview content

1. Board functioning and institutional arrangements
2. Strengthened Secretariat operations
3. Overall financial status of the initiative
4. Workplan implementation and budget by workstream

2018 MA Decisions on governance

Institutional Review outcomes:

Change record – Revision 5, 25 March 2019

The following document is the full version of the Standard Operating Procedures for IATI. Version 5 remains in force until further revisions by members.

Revision 5, 25 March 2019

Revision 5 incorporates decisions taken at the July 2018 Members' Assembly meeting and implements recommendations by the Institutional Review Working Group approved by members at that meeting. Recommendations on significant adjustments to responsibilities between Members' Assembly and Governing Board came into force from the 2018 Members' Assembly. The recommendations can be seen in full here https://iatistandard.org/documents/223/F2.-Recommendations-on-IATIs-Institutional-Arrangements.pdf

2.1.5 is added to introduce a new private sector category of membership, previously included in 2.1.4.

2.1.6 is added for other organisations not falling into those explicitly listed.

2.1.1 is updated to reflect the definition of members as those that regularly pay the approved membership contribution.

2.3.1 sets out the process of setting the level of annual membership contributions.

2.3.3 adds private sector organisations into the membership contribution schedule.

Division of labour
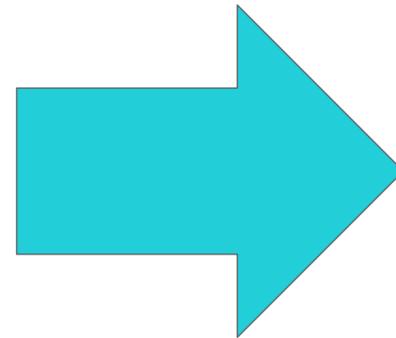
Board

- Guidance to the Secretariat
- Setting **budget and workplan** aligned with strategic priorities of members
- **Oversight** of budget and workplan implementation
- Making **recommendations** to members

Members

- Discuss and approve recommendations by the Board on **strategic direction** and **oversight of the strategic plan**
- Receive and decide on recommendations from the Board through virtual or physical meetings
- Provide **expertise in support** of the work of the Board as needed

Strengthened Secretariat Functions

Secretariat functions consolidated under UNDP leadership

☐ UNDP overall IATI Secretariat Coordinator.   Team strengthened with additional project management capacity.

☐ New dedicated capacity for communications, outreach, partnerships and engagement functions

☐ Dedicated IATI technical lead in place at DI and accountable to the Board

☐ Consolidated and expanded technical team (in response to technical audit)

☐ UNOPS continues financial and logistical management functions

☐ Secretariat quality assurance processes in place

Key Drivers
- *Prioritized technical roadmap in place arising from technical audit*
- *Development of IATI SP 2020-2025*

Accountability & transparency

- Board oversight
- Regular meetings, with minutes published on website
- Project management tools (Dashboard) for continual monitoring
- Yammer space for Board discussions and documents
- Risk/issue register and Conflict of Interest register reviewed and updated quarterly

Year 6 Financial Snapshot

$2,698,343
Income Year 6 (of which 228,111 not yet received)

$2,424,050
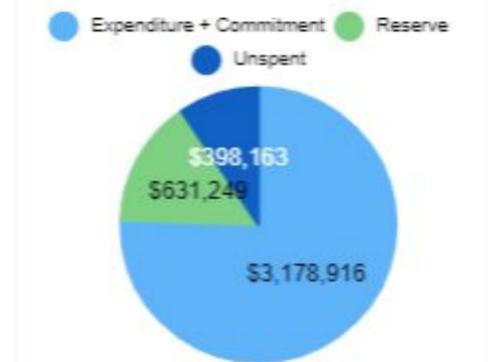Carry over from previous years

$1,732,214
Expenditure Y6 to June 30

$1,446,702
Commitments Year 6

$1,943,477
Anticipated cash position Dec '19

$631,249
Reserve (Contingency; 15% of annual budget)

$1,312,228
Y7 Programmable funds at 31 December (less 15% reserve)

Expenditure v. budget projection 31 Dec 2019

Expenditure + Commitment — Reserve — Unspent

$398,163
$631,249
$3,178,916

Risk Register
☐ Y6 IATI Risk Register

Y6 Q3 Workplan with Monitoring
☐ Y6 Q3 Workplan with Monitoring (Apr-Jun 2019)

Y6 Q3 Income / expenditure (up to June 2019)
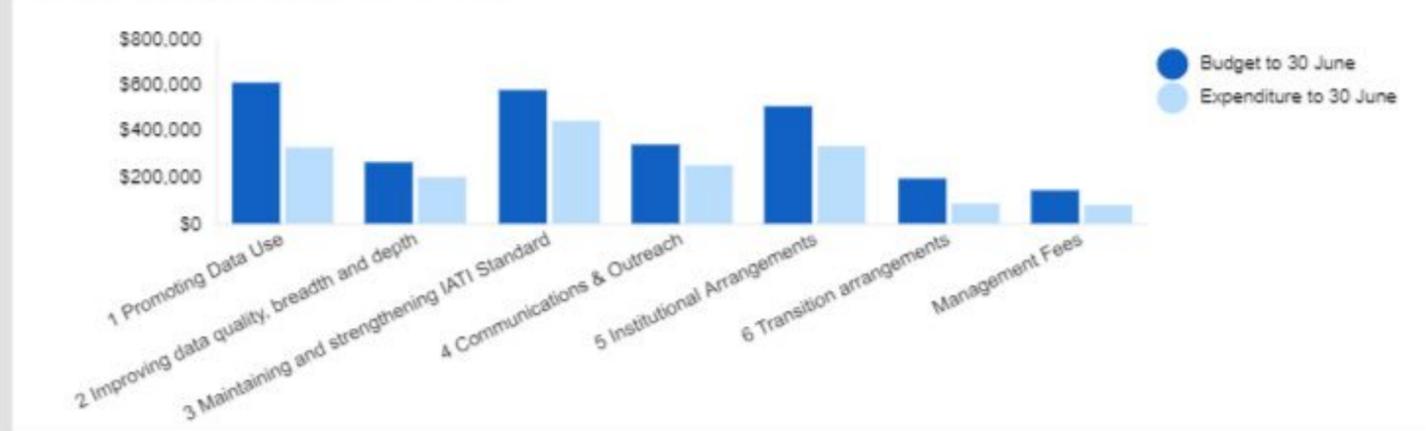🔗 Income and expenditure to June 2019

**MA Update - Year 6
IATI Budget and Expenditure Report
Sept 2018 - June 2019**

iati
International
Aid Transparency
Initiative

Budget v Expenditure to June 30

Budget to 30 June
Expenditure to 30 June

$800,000
$600,000
$400,000
$200,000
$0

1 Promoting Data Use
2 Improving data quality, breadth and depth
3 Maintaining and strengthening IATI Standard
4 Communications & Outreach
5 Institutional Arrangements
6 Transition arrangements
Management Fees

Activity and personnel expenditures to 30 June

Activity expenditure
Personnel expenditure

Data Use — $73,257 — $255,710
Data quality — $19,505 — $182,811
IATI Standard — $185,658 — $261,618
Comms & Outreach — $101,166 — $151,795
Governance / institutional — $56,592 — $277,83
Transition and Strategic Planning — $24,148 — $60,259

$0 $50,000 $100,000 $150,000 $200,000 $250,000 $300,000

Activity and personnel to year end

1 Promoting Data Use
2 Improving data quality, breadth and depth
3 Maintaining and strengthening IATI Standard
4 Communications & Outreach
5 Institutional Arrangements
6 Transition arrangements
7 Reserve (15% / Y6 budget)
Management Fees

Financial update - key points

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To note:

- Year 6 spans 16 months Sept 2018 - Dec 2019
  - This is represented as five 'quarters' (Q1: Sep - Dec 2018)
- The approved budget for the current financial year is $4.2
  - This includes a budget revision undertaken by the Board in February 2019 to add activities from the 2018 technical audit
  - The budget revision resulted in an increase of 21%
- Contingency amount 15% of annual budget to be reserved for potential liabilities; Year 6 reserve is $630k
- Membership contributions now account for 100% of IATI's budget with little reliance on voluntary contributions. Receipts have been timely.
- Projection by year end sees $1.3m available for new investment at the start of the Strategic Plan period.

Financial situation up to 30 June
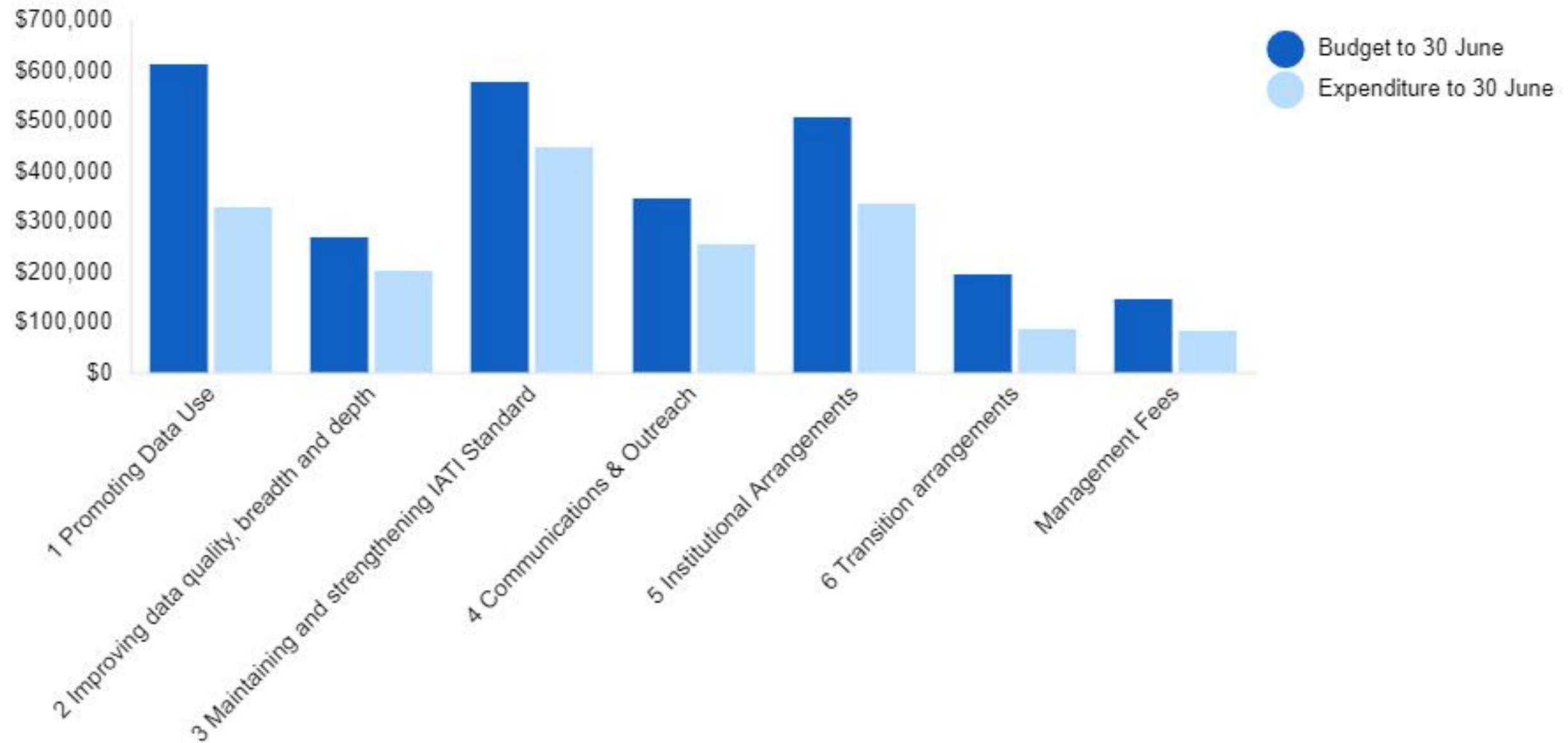
1: Promoting Data Use

Y6 budget	Actual Expenditure (30 June)	Commitment (July - Dec)	Used by year end (%)	Remaining
$915,551	$328,966	$244,757	63%	$341,828

Activities	Key achievements	Status
● Datastore developed	New datastore procured, built, testing underway	Ongoing
● Data use task force and fund	Active and regular engagement; DUF established and 5 RFPs launched; IATI Tool Guide completed; Traceability RFP now awarded; feedback mechanisms re-issued	Some delays
● Website content & d-portal enhancement	Details to follow in technical presentation	Ongoing
● Promotional activities at country level	SP-related trips to Malawi and Somalia; Research challenge for young journalists;	Completed

2: Improving Data Quality

Y6 budget	Actual Expenditure (30 June)	Commitment (July - Dec)	Used by year end (%)	Remaining
$452,881	$202,316	$218,194	93%	$32,371

Activities	Key achievements	Status
● Support to new priority publishers	151 new publishers, rising to over 1000 in May; priority publishers included Japan MOFA, ICRC, Danish Red Cross, GEF, KS Relief Centre (Saudi Arabia);	Ongoing
● Improved data quality / existing publishers	Improvements to the Dashboard to make it a more usable tool for supporting data quality efforts	Ongoing
● Strengthened external capacity providing tech support for publishers	Regular calls with Bond, support to Belgian NGO Federatie and with Microsoft (Common Data Model now aligned with IATI)	Ongoing
● Self-service guidance and validation tools available for publishers	12 new guidance pages prepared and shared for consultation in Q3, being published this week; new validator service procured externally and nearing completion	Ongoing

3: Maintaining / strengthening the Standard

Y6 budget	Actual Expenditure (30 June)	Commitment (July - Dec)	Used by year end (%)	Remaining
$1,078,192	$447,276	$317,438	71%	$313,478

Activities	Key achievements	Status
● Key systems, tools and infrastructure stable and continuously improved	Details in technical update to follow.	Ongoing
● Standard aligned with overall direction	Details in technical update to follow.	Completed
● Annual TAG meetings	TAG 2018 (Kathmandu) increasing local engagement and overall 25% bigger than previous year; no TAG in 2019	Completed

4: Communications / Outreach

Y6 budget	Actual Expenditure (30 June)	Commitment (July - Dec)	Used by year end (%)	Remaining
$529,603	$252,961	$183,431	82%	$93,212

Activities	Key achievements	Status
● Outreach	10 year anniversary side event at 2018 UNGA; Led to request for brief to UN Forum on Forests	Ongoing
● Engagement	IATI Standard included in the UN Financing Data Cube to implement the Secretary General's commitment for greater transparency across the UN System	Ongoing
● Communications	IATI Annual Report 2018 - 1000 views, was included in 3 media publications and endorsed by UNDP Administrator, Achim Steiner in a launch blog.	Ongoing
● Website	Over half a million page views from 150,000 users for new iatistandard.org website launched in July at MA 2018 (July 2018 - Aug 2019)	Ongoing
● Social media	+10% increase in @IATI_aid twitter followers and over half a million views of @IATI_aid tweets - 9% increase over the year	Ongoing

5: Institutional Arrangements

Y6 budget	Actual Expenditure (30 June)	Commitment (July - Dec)	Used by year end (%)	Remaining
$767,432	$334,427	$365,715	91%	$67,290

| Activities	Key achievements	Status
• Support to Chair and Board	Quarterly meetings in November, February, May (face to face) and August	
• Annual MA	Extended planning period began early working with EC hosts	
• Trust Fund Management and Ops support	Financial contributions regularly collected and disbursed	
• Secretariat and management meetings	Weekly and ad hoc	

6. Transition Activities (SP) and Consortium

Y6 budget	Actual Expenditure (30 June)	Commitment (July - Dec)	Used by year end (%)	Remaining
$243,944	$84,407	$50,329	55%	$109,208

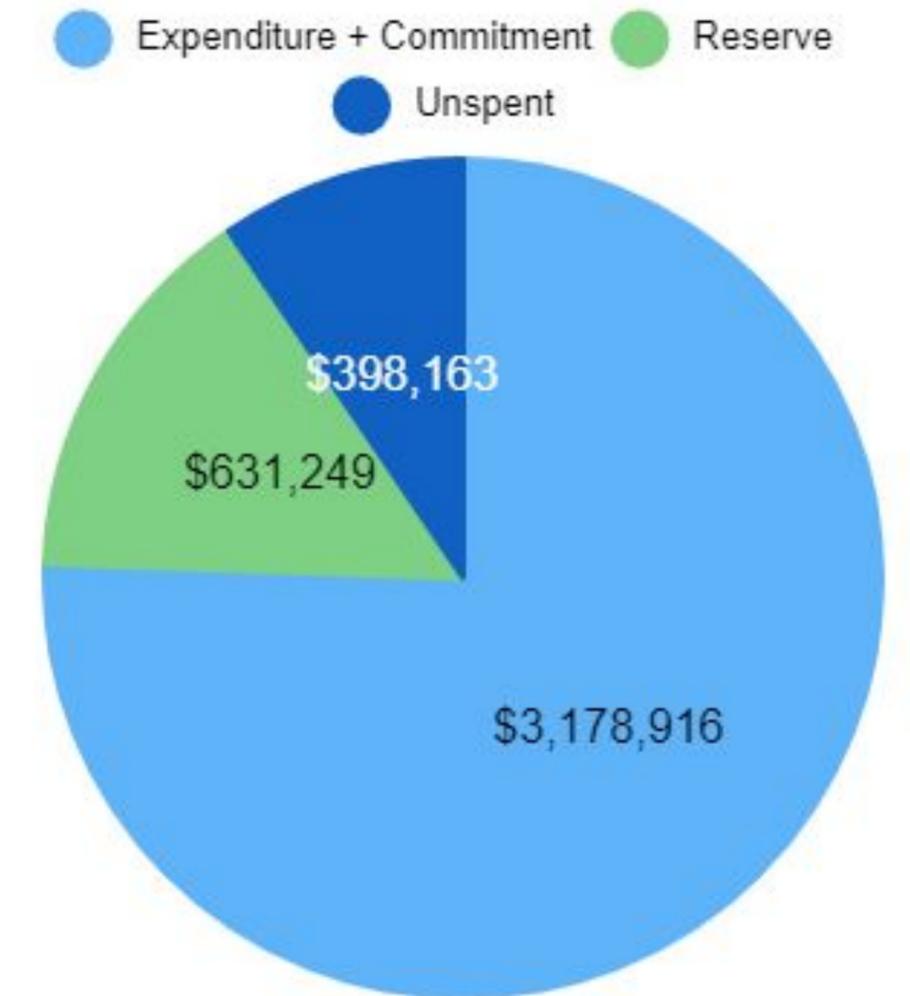| Activities	Key achievements	Status
● Secretariat Transition	Secretariat functions consolidated under UNDP leadership Dedicated IATI technical lead in place accountable to the Board Strengthened project management capacity Enhanced capacities for communications, outreach, and partnerships	
● Strategic Plan Development	Multilanguage platform for consultation offered as a contribution by UNDP, resulting in significant savings and increased opportunities to participate	

In-kind contributions of consortium members have continued in Y6

Y6 income	Actual Expenditure (30 June)	Commitment (July - Dec)	Used by year end (%)	Remaining
$390,475	$241,888	$148,587	100%	$0

Financial situation by year end

Financial position by 31 December 2019
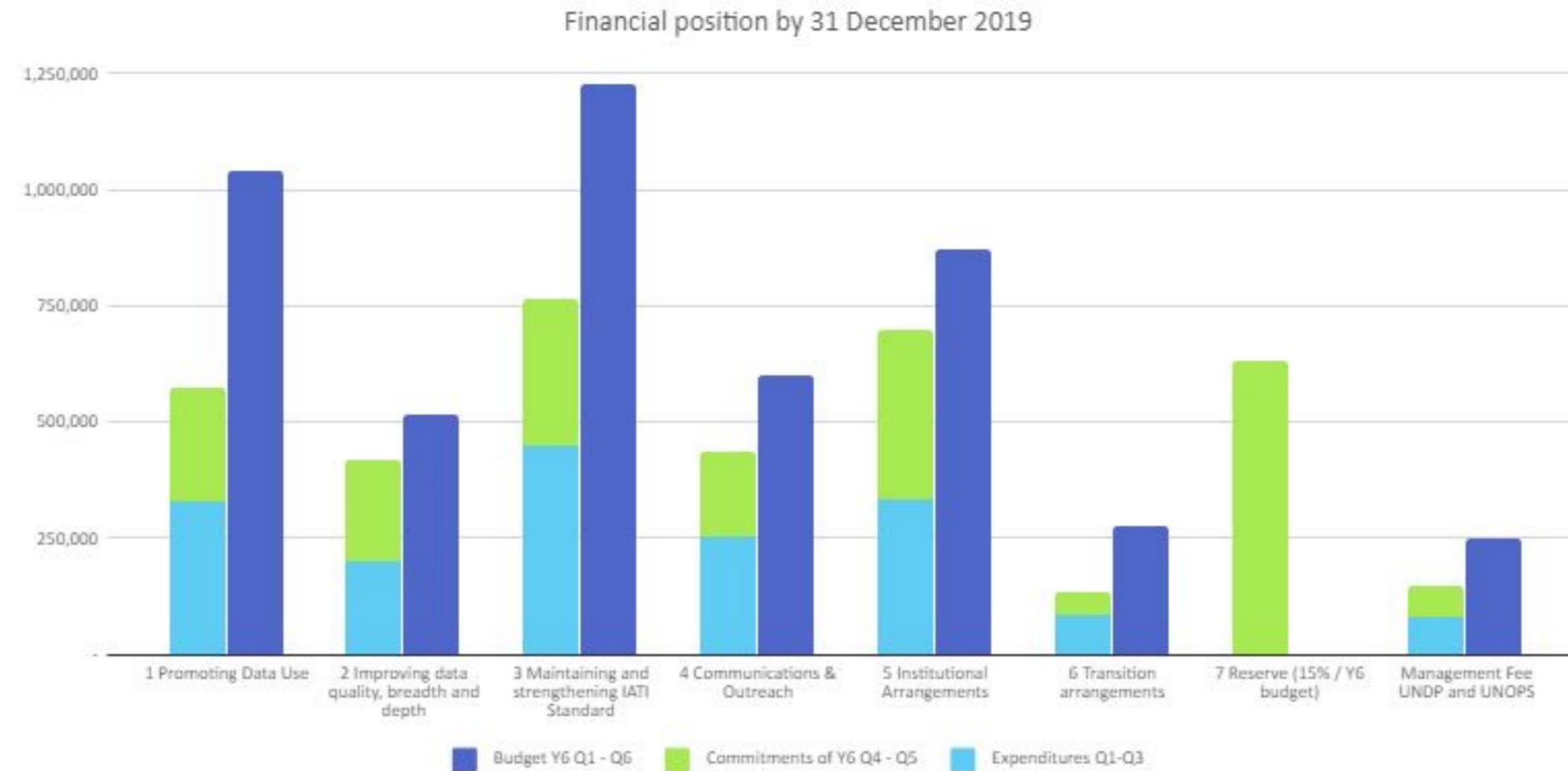
Expenditure v. budget projection 31 Dec 2019

Any questions?

Screenshots for internal use in the presentation

1 Promoting Data Use — $427,992 / $145,731
2 Improving data quality, breadth and depth — $114,945 / $305,565
3 Maintaining and strengthening IATI Standard — $299,506 / $465,208
4 Communications & Outreach — $165,026 / $271,365
5 Institutional Arrangements — $214,578 / $485,565
6 Transition arrangements — $24,148 / $110,588
7 Reserve (15% / Y6 budget) — $631,249
Management Fees — $146,359

Year 6 Financial Snapshot

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Income Year 6 (of which 228,111 not yet received)

$2,424,050
Carry over from previous years

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Expenditure Y6 to June 30

$1,446,702
Commitments Year 6

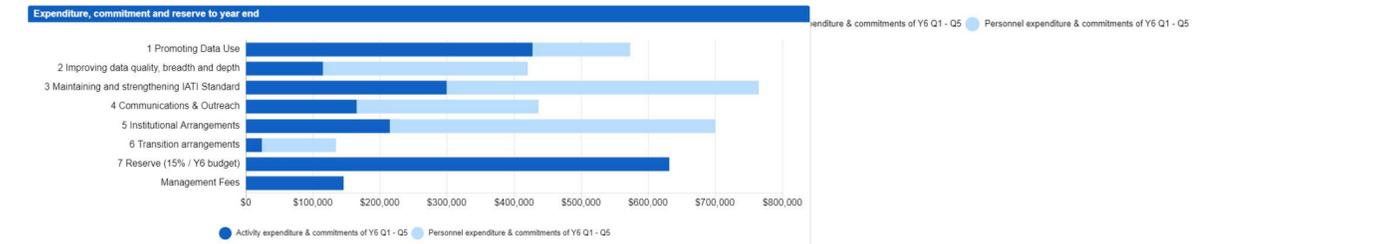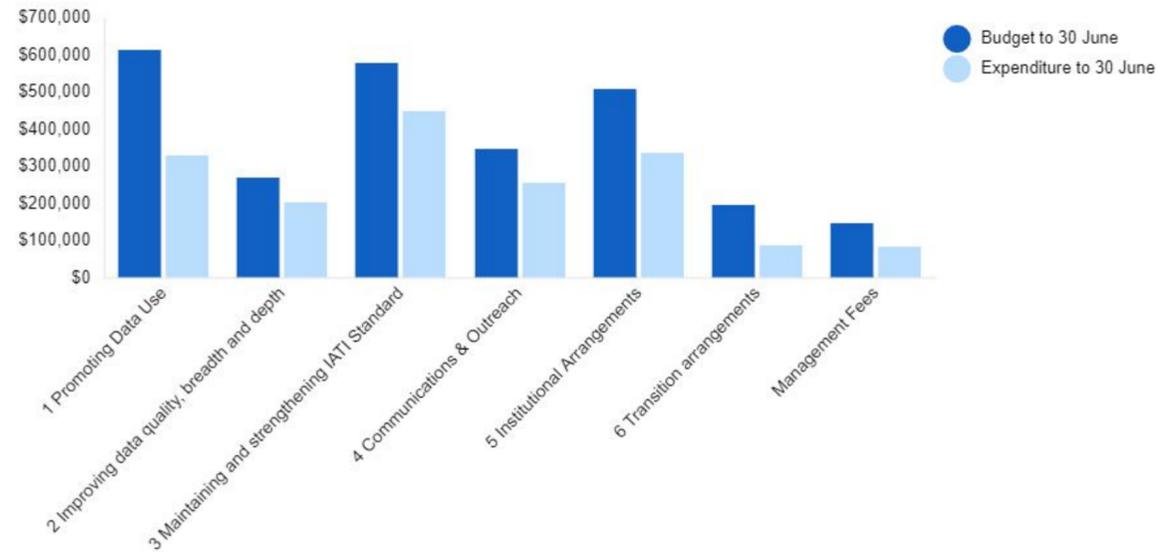**$1,943,477**
Anticipated cash position Dec '19

$631,249
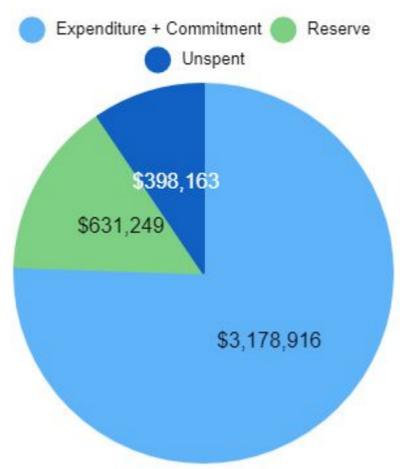Reserve (Contingency; 15% of annual budget)

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Y7 Programmable funds at 31 December (less 15% reserve)

Budget v Expenditure to June 30

Budget to 30 June
Expenditure to 30 June

Expenditure, commitment and reserve to year end

1 Promoting Data Use
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4 Communications & Outreach
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6 Transition arrangements
7 Reserve (15% / Y6 budget)
Management Fees

Activity expenditure & commitments of Y6 Q1 - Q5 • Personnel expenditure & commitments of Y6 Q1 - Q5

Budget Item	Budget Y6 Q1 - Q6	Expenditures Q1-Q3	Commitments of Y6 Q4 - Q5
1 Promoting Data Use	915,551	328,966	244,757
2 Improving data quality, breadth and depth	452,881	202,316	$218,194
3 Maintaining and strengthening IATI Standard	1,078,192	447,276	$317,438
4 Communications & Outreach	529,603	252,961	$183,430
5 Institutional Arrangements	767,432	334,427	$365,715
6 Transition arrangements	243,944	84,407	$50,329
7 Reserve (15% / Y6 budget)			$631,249
Management Fee UNDP and UNOPS	220,723	81,860	$64,499
	4,208,326	1,732,214	2,075,611

Expenditure v. budget projection 31 Dec 2019

Expenditure + Commitment   Reserve
Unspent

$398,163
$631,249
$3,178,916

Activity and personnel expenditures to 30 June

Activity expenditure
Personnel expenditure

Data Use — $255,710 / $73,257
Data quality — $19,505 / $182,811
IATI Standard — $185,658 / $261,618
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Governance / institutional — $56,592 / $277,835
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Financial position by 31 December 2019

Budget Y6 Q1 - Q6   Commitments of Y6 Q4 - Q5   Expenditures Q1-Q3

1 Promoting Data Use
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Management Fee UNDP and UNOPS