

Annual Members' Assembly

11-12 September 2019

*European Commission VM-2 building
Brussels, Belgium*

Background note for IATI Strategic Plan Discussion on the Results Framework

Purpose

This paper serves to guide members in preparing for a participatory session at the 2019 Members' Assembly to jointly finalise a Results Framework that supports achievement of the IATI Strategic Plan 2020 - 2025.

What is a Results Framework?

A Results Framework (RF) is a strategy and an accountability framework to support the achievement of a specific objective that is grounded in cause-and-effect logic. It represents a theory about how intended change will occur and shows how the achievement of lower-level objectives leads to the achievement of the next higher order of objectives. In short, a person looking at a RF should be able to understand the basic logic for how key objectives will be achieved. The RF is an important tool because it helps identify and focus on these key objectives within a complex development environment.

Why a Results Framework for the Strategic Plan?

This Strategic Plan sets the framework and parameters for IATI's activities between January 2020 and December 2025, and the RF ensures that activities conducted under the auspices of IATI contribute to the overall goal, vision and mission highlighted in the Strategic Plan. At a more granular level, and in accordance with the process set out in the Standard Operating Procedures, the Governing Board will draw from the Plan itself to develop annual work plans and budgets, while the RF included as part of the Strategic Plan will help to ensure overall adherence to IATI's stated goals.

How was this Results Framework developed, and what are the next steps?

The draft outcomes and outputs included in the RF are taken directly from the Strategic Plan itself, and thus have been identified as strategically important to the success of IATI from 2020-2025 by the IATI community, members, Governing Board and Secretariat. The draft indicators have been developed by the IATI Secretariat as proxies to measure progress against these intended outcomes and outputs.

During the Strategic Plan session at the Members' Assembly, members will be asked to advise / comment on the RF, including its draft outcomes, outputs and indicators, and also on appropriate baselines and ambitious but realistic targets for the RF across the 2020-2025 period. By doing this thinking together with stakeholders who have different perspectives, IATI can tailor its interventions to give the greatest chance of achieving meaningful change.

Questions for discussion during the Members' Assembly breakout session on the Strategic Plan:

- Are we measuring the appropriate drivers of progress for achieving the objectives of the Strategic Plan?, i.e. does the RF directly service the intended outcomes of the Strategic Plan, namely:
 - Driving a significant improvement in the quality of data published to IATI;
 - Promoting the systematic use of IATI data by development and humanitarian actors; and
 - Strengthening the IATI Standard to support these objectives by consolidating its technical core, maintaining its infrastructure and reinvigorating its community of publishers and members.
- If not, what could / should be amended to ensure that the RF responds directly to the objectives of the Strategic Plan?
- Are the outputs and indicators specific, measurable, attainable, relevant and time-bound (S.M.A.R.T.)? More specifically,
 - Is it clear exactly what is being measured? Do they capture the essence of the desired result / output?
 - Are the outputs and indicators measurable? Does the indicator have the capacity to be counted, observed or analysed?
 - Are the outputs and indicators achievable and realistic?
 - Are the outputs and indicators timely? Does the monitoring and evaluation system allow progress to be tracked in a cost-effective manner, without undue human resource burdens, with clear identification of the particular stakeholder group(s) to be affected?
- What is the level of ambition for each outcome and output indicator to be achieved by the end of 2025? What are realistic annual milestones for 2020, 2021, 2022, 2023, 2024 and 2025.

Results Framework – IATI Strategic Plan (2020-2025)

NOTE: This Results Framework has been developed by the IATI Secretariat for discussion at the 2019 Members' Assembly (MA) in Brussels. Following comments from members, this document will be revised. As such, this version should be considered as draft.



IATI Vision

Development and humanitarian communities share good quality information on their activities and results and use this information to work together more effectively towards achieving sustainable development.



IATI Mission

To amplify efforts across the development and humanitarian communities, by making the connections between organisations, their financial flows, programmes and results more visible, enabling them to manage international development efforts effectively and efficiently to contribute to the 2030 Agenda for Sustainable Development, and monitor the actual progress achieved on the ground.



IATI Goal

To support better decisions and better development outcomes through the publication and use of good quality data on development resources, activities, pledges and results.

Outcome 2: IATI data is systematically used by development and humanitarian actors

OUTCOME INDICATORS		BASELINE	2020	2021	2022	2023	2024	2025	DATA SOURCE
2.1 Number of unique visits to d-portal (annual basis)		297,228 pageviews (August 2018 - July 2019)							Google Analytics
2.2 Number of unique visits to IATI Datastore (annual basis)		To be calculated after launch of Datastore revisions							Google Analytics
Applicable Key Result Areas:		TARGETS							
INTENDED OUTPUTS	OUTPUT INDICATORS	BASELINE	2020	2021	2022	2023	2024	2025	DATA SOURCE
Output 2: Data literacy and capacity for data use of members, publishers and partners is strengthened.	2.1a Number of data users (i.e. partner countries or organisations) directly supported by the IATI Secretariat on how to use IATI data (annual basis) <i>Indicative activities: one-on-one Skype trainings, webinars, etc.</i>	To be calculated after approval of members at 2019 MA							2.1a: IATI Secretariat
	2.1b Number of data users (i.e. partner countries or organisations) directly supported by IATI members on how to use IATI data (annual basis) <i>Indicative activities: one-on-one Skype trainings, webinars, etc.</i>								2.1b: Survey of IATI members included in Annual Report development process
	2.2a Number of publishers (i.e. partner countries or organisations) directly supported by the IATI Secretariat on how to use IATI data (annual basis) <i>Indicative activities: one-on-one Skype trainings, webinars, etc.</i>	To be calculated after approval of members at 2019 MA							2.2a: IATI Secretariat
	2.2b Number of publishers (i.e. partner countries or organisations) directly supported by IATI members on how to use IATI data (annual basis) <i>Indicative activities: one-on-one Skype trainings, webinars, etc.</i>								2.2b: Survey of IATI members included in Annual Report development process
	2.3 Percentage of publishers who have more than 10 country-level offices that provide annual trainings on using IATI data to their country-level staff	To be calculated after approval of members at 2019 MA							Survey of IATI members included in Annual Report development process

Outcome 3: The IATI Standard is strengthened by consolidating its technical core

OUTCOME INDICATORS		BASELINE	2020	2021	2022	2023	2024	2025	DATA SOURCE
3.1. <i>*Technical note: this outcome indicator will be developed in tandem with updates / planned standardisation of the IATI Standard.</i>		N/A							
Applicable Key Result Areas:		TARGETS							
INTENDED OUTPUTS	OUTPUT INDICATORS	BASELINE	2020	2021	2022	2023	2024	2025	DATA SOURCE
Output 3: The IATI Standard increasingly meets the needs of different user groups.	3.1 Number of partner countries integrating IATI data into their budgeting systems, AIMS or information management systems Annual increase in number of country governments reporting integration	To be calculated after approval of members at 2019 MA							3.1 Survey of IATI partner country members included in Annual Report development process
	3.2 New examples of data use not previously foreseen yes / no								3.2 Survey of IATI members included in Annual Report development process

Outcome 4: The IATI Community of members, data users and publishers is increasingly engaged to maximise impact

OUTCOME INDICATORS		BASELINE	2020	2021	2022	2023	2024	2025	DATA SOURCE
4.1 Number of membership-paying members of IATI		90 (number excludes Secretariat members which contribute in-kind)							IATI Secretariat
4.2 Number of new IATI publishers <i>*Technical note: targets to be adjusted based on external factors such as donor governments mandating IATI publishing</i>		225 new publishers (August 2018 to August 2019)							IATI Secretariat
4.3 Number of active participants in technical consultations		To be calculated after MA agreement on approach and scope							IATI Secretariat
Applicable Key Result Areas:		TARGETS							
INTENDED OUTPUTS	OUTPUT INDICATORS	BASELINE	2020	2021	2022	2023	2024	2025	DATA SOURCE
Output 4.1: Strengthened environment of sharing and learning around the publishing and use of IATI data.	4.1.1 IATI Community becomes an inclusive forum and process for provision of high quality technical advice for governing bodies and promote peer-learning yes / no	No formal learning / sharing platform exists for members							IATI Secretariat
	4.1.2a Number of participants in online (e.g. webinars) / offline trainings conducted by the IATI Secretariat that strengthen information-sharing								4.1.2a: IATI Secretariat
	4.1.2b Number of participants in online (e.g. webinars) / offline trainings conducted by IATI members that strengthen information-sharing								4.1.2b: Survey of IATI members included in Annual Report development process
Output 4.2: Momentum for IATI as a political initiative is maintained.	4.2.1 Percentage of IATI members attending annual Members' Assembly meetings	Based on 2019 MA attendance							IATI Secretariat
	4.2.2 Number of mentions of IATI in global, regional or country-level policy documents or outcome documents	To be calculated after approval of members at 2019 MA							Horizon scan by IATI Secretariat
	4.3.1 Number of relevant open data / development events where IATI has had a visible presence (e.g. speaking or presentation role)	To be calculated after approval of members at 2019 MA							Horizon-scan by IATI Secretariat
	4.3.2 Number of total unique views to the IATI external website	[To add]							Google Analytics