



SESSION 1: Opening Remarks

Henry Asor (NG), IATI Governing Board Chair
13 March 2023



Agenda

- 01** Governance Overview of IATI
- 02** Looking Ahead: IATI Hosting Decision and Transition
- 03** Caucus Constituency Meeting(s)
- 04** Members' Cocktail Reception



SESSION 2: Governance Overview of IATI

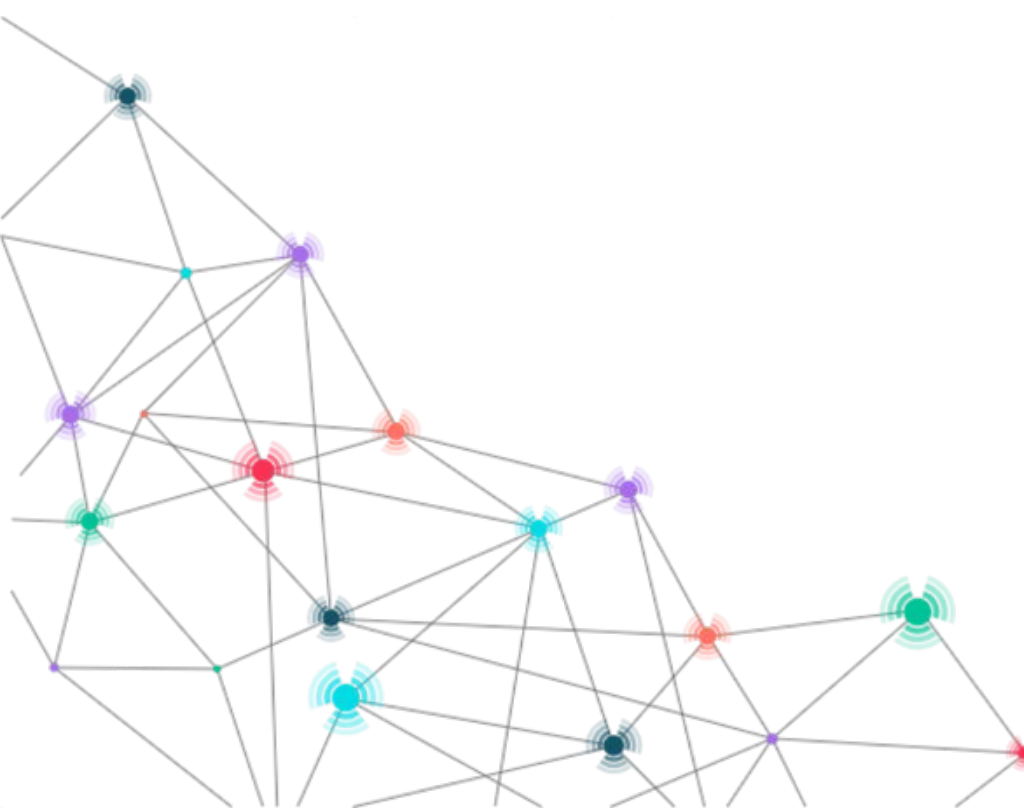
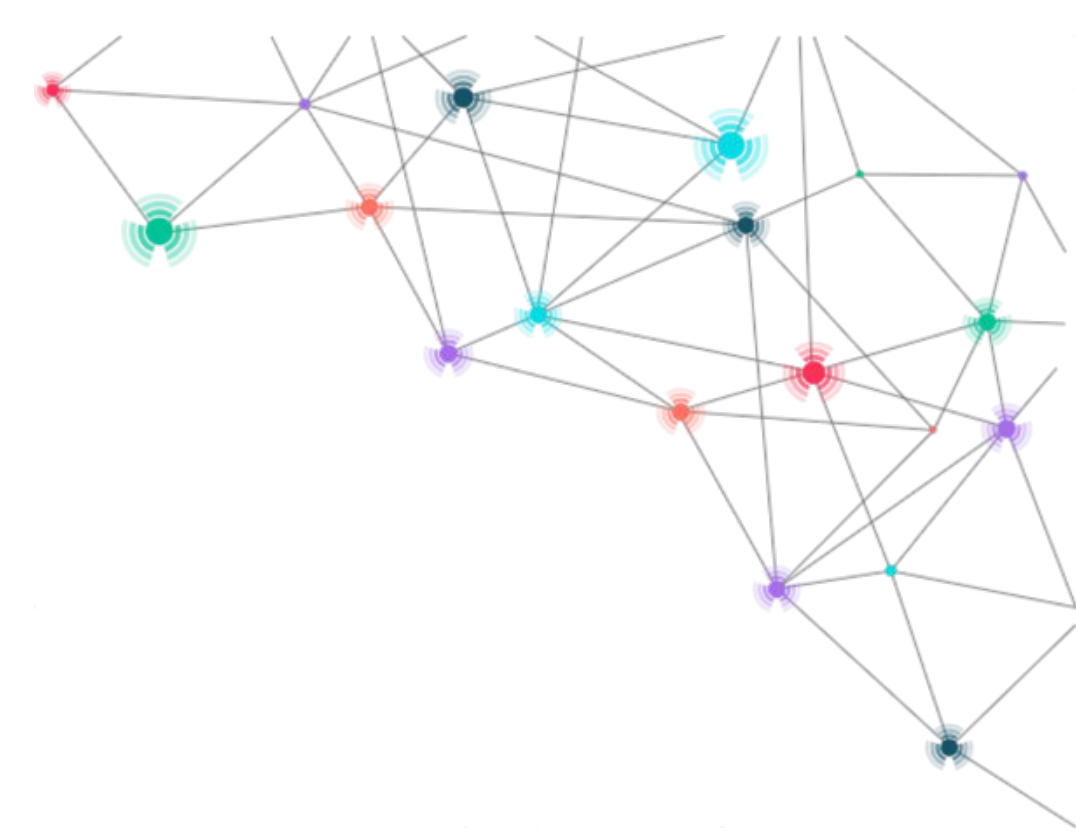
Speakers: Thea Schepers (NL) IATI Governing Board Vice-Chair;
Michelle Levesque (IOM);
Yohanna Loucheur (Global Affairs Canada)

13 March 2023



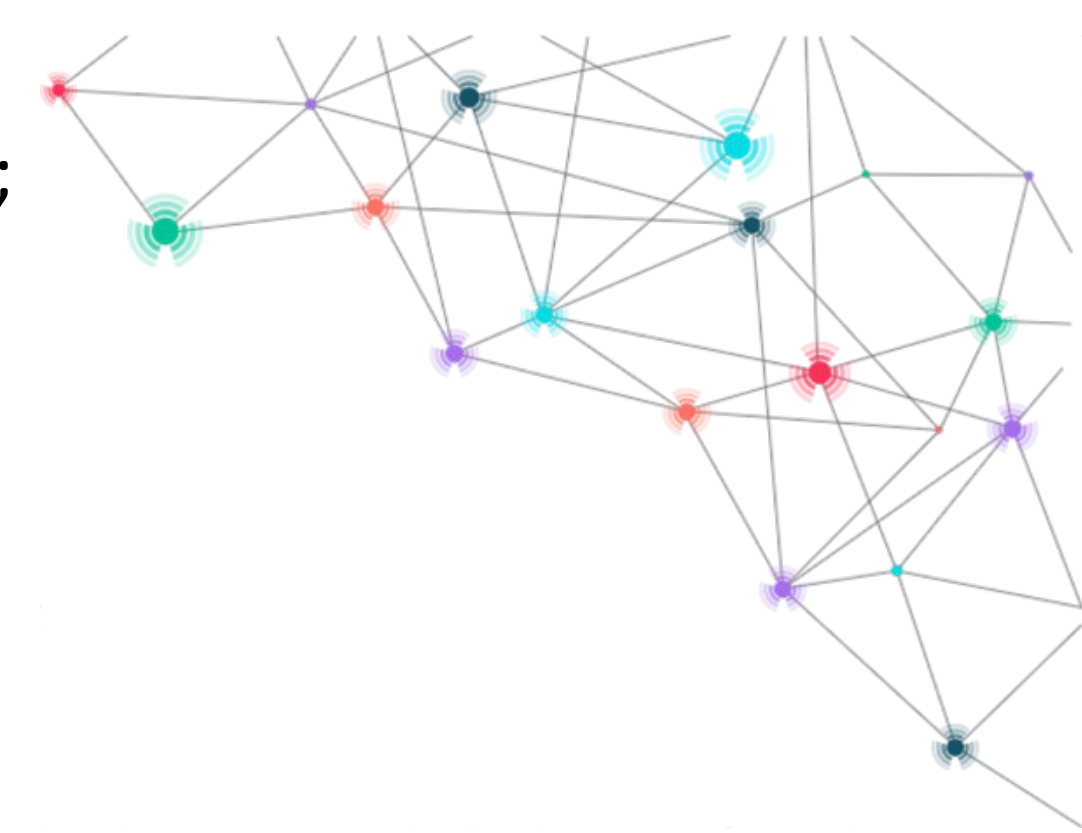
IATI Governance Introduction for New Members

Michelle Levesque & Yohanna Loucheur
Members' Assembly, March 2023

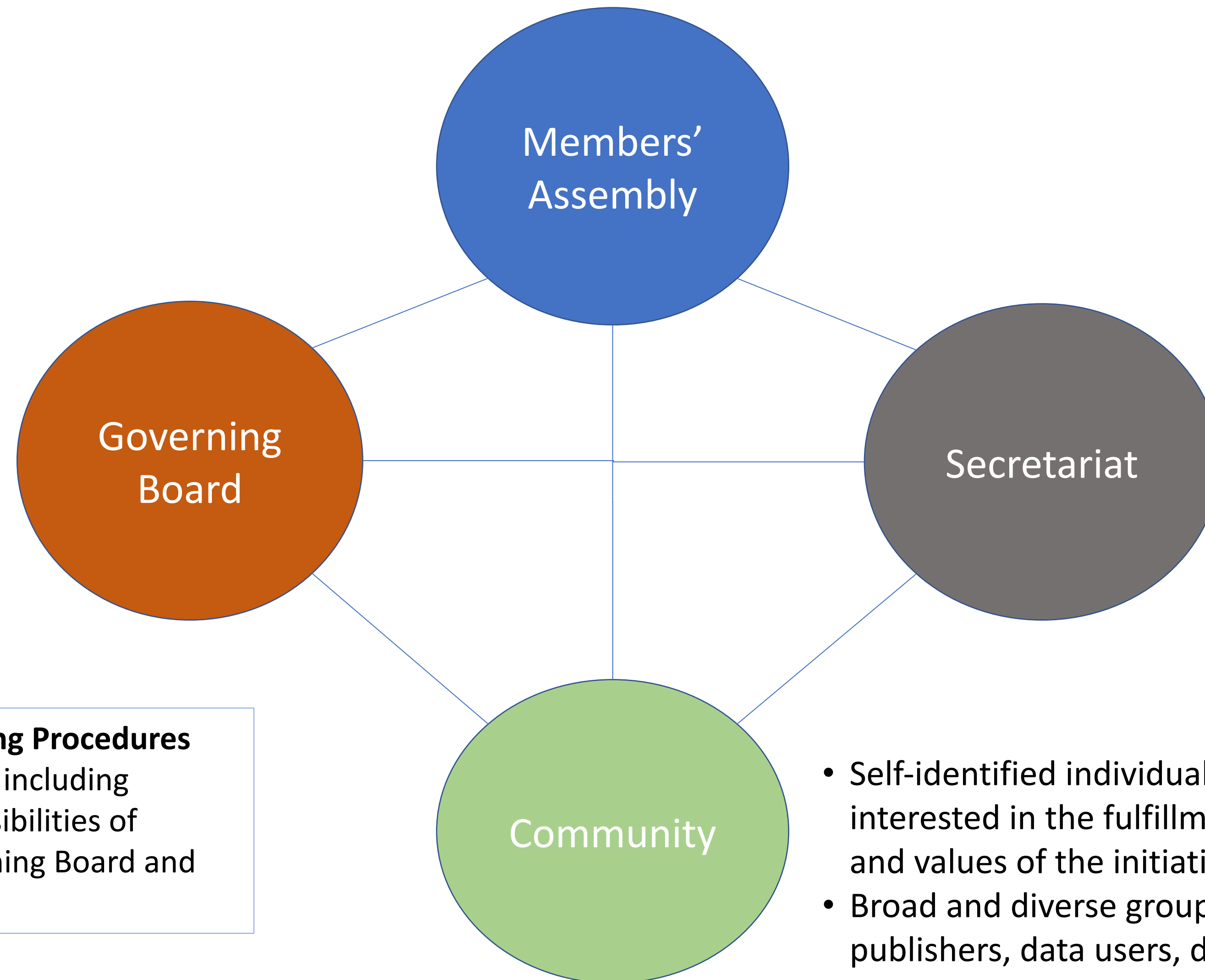


IATI Stakeholders

- Three constituencies: Providers of Development Cooperation; Partner Countries; Civil Society Organisations (CSOs) and Private Sector Organisations
- Open to all interested in formally joining as members.



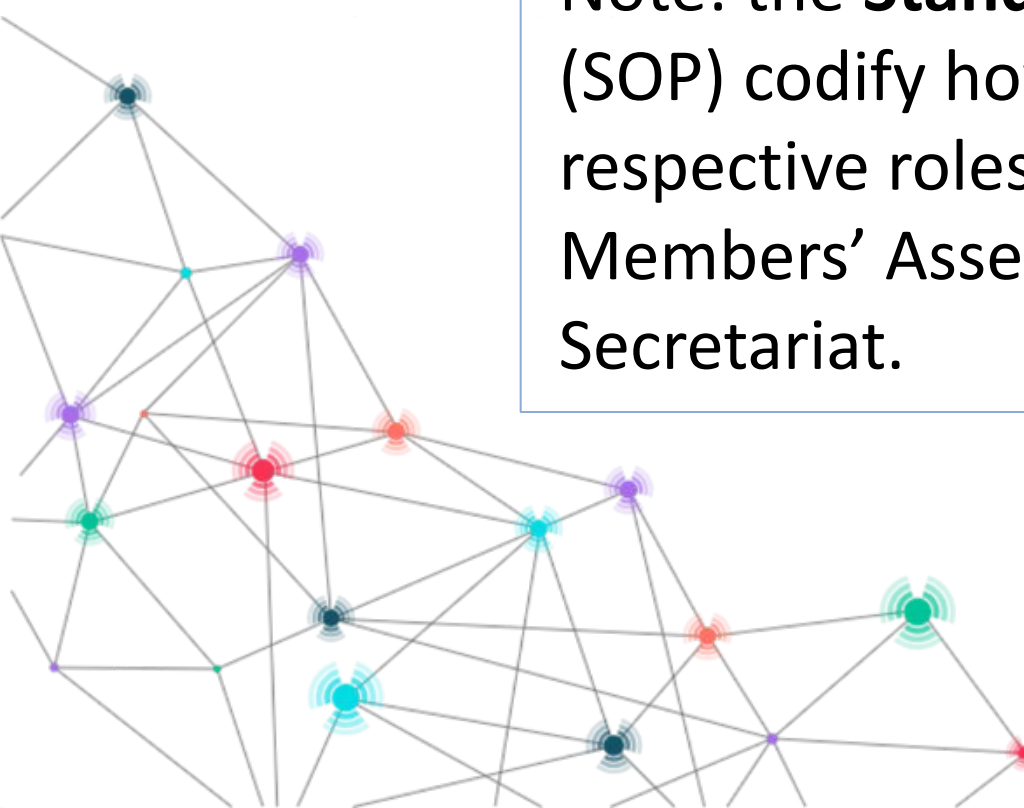
- Seven representatives elected by Members
- Two members per constituency and one technical specialist



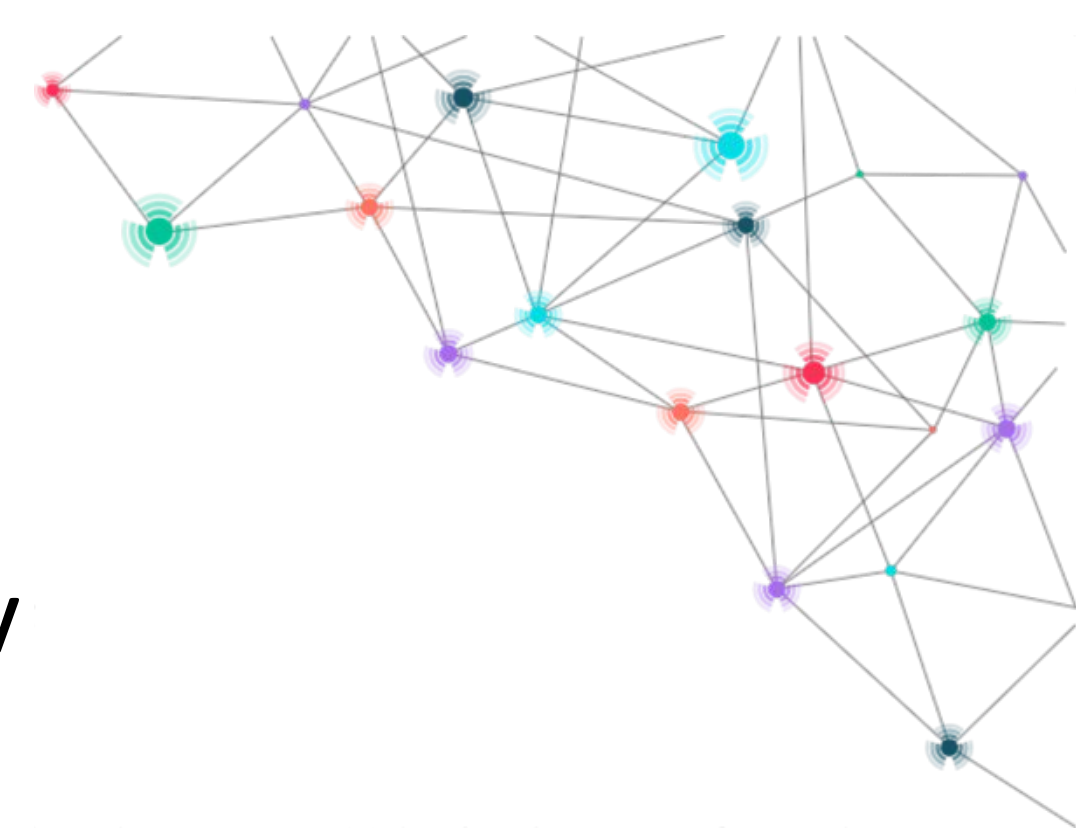
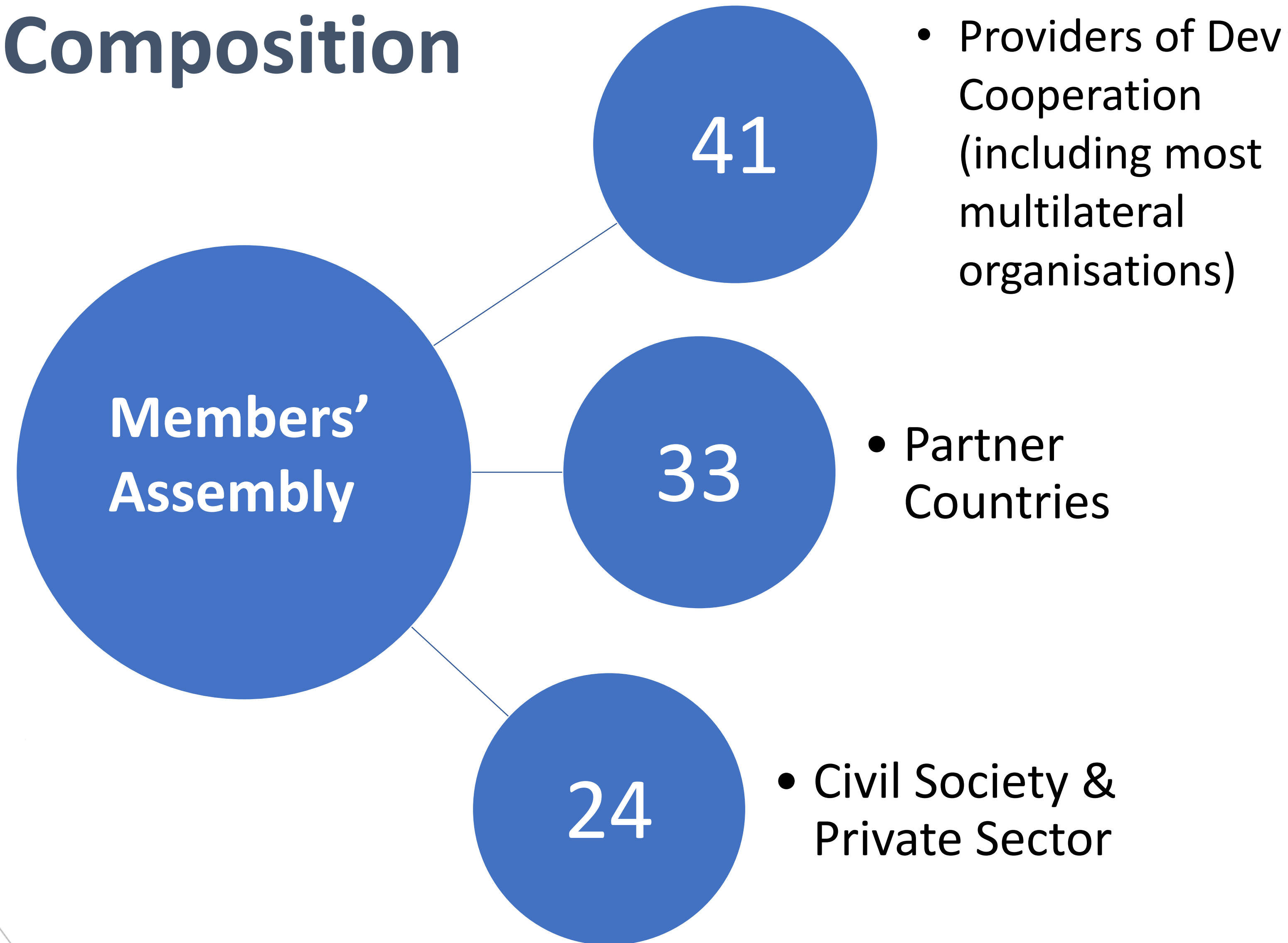
- Manages day-to-day running of IATI
- Vote on new Secretariat at this MA

Note: the **Standard Operating Procedures (SOP)** codify how IATI is run, including respective roles and responsibilities of Members' Assembly, Governing Board and Secretariat.

- Self-identified individuals or organizations interested in the fulfillment of the mission, vision and values of the initiative.
- Broad and diverse group made up of data publishers, data users, development practitioners, political advocates for transparency, open data experts and technical experts.



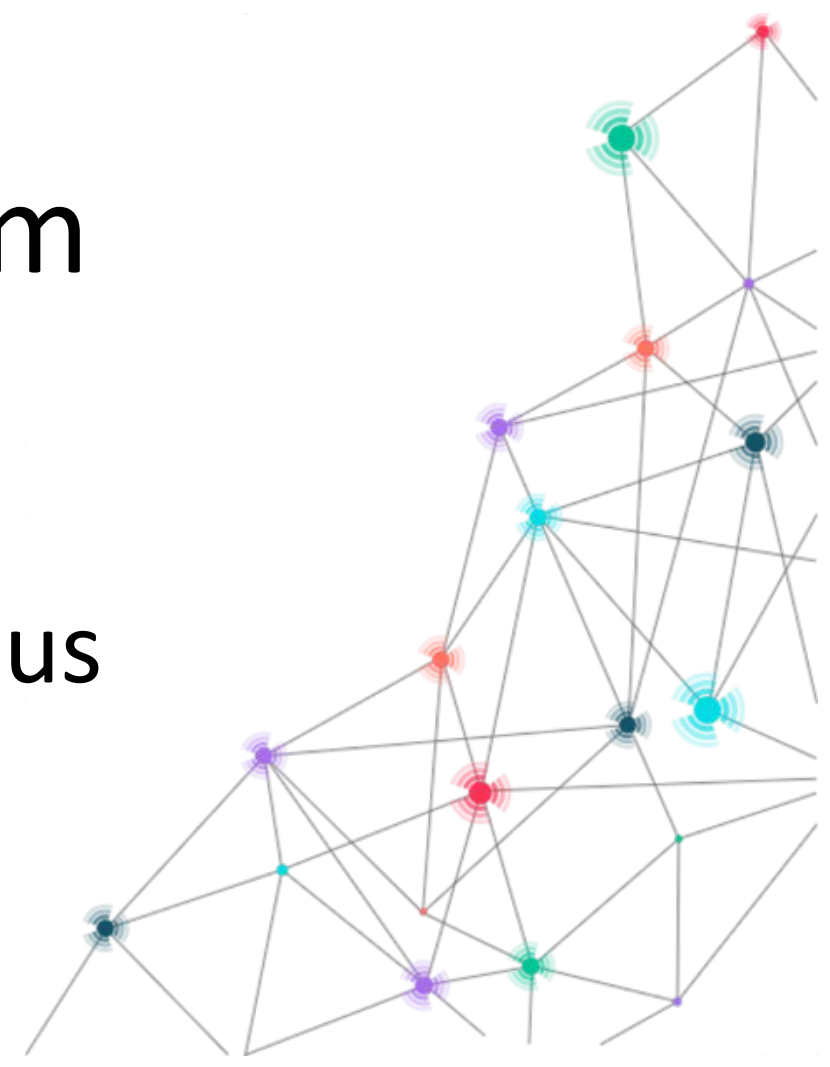
Members' Assembly – Current Composition



Members' Assembly Responsibilities & Authority

- Approve Board recommendations on **strategic direction** and oversight, including the 5-year Strategic Plan and its results framework, changes to **SOP** and **governance arrangements**
 - Approve **annual membership contribution** amounts based on recommendation from the Board
 - Provide **expertise** to support the Board's work, in particular through IATI working groups
 - Approve **major upgrades** to the standard
- ❖ Decision-making is usually by consensus, and if not, triple-lock system (simple majority in each constituency).

NB: MA does not approve the annual budgets; however, MA does approve annual fees, thus effectively sets parameters for budgets

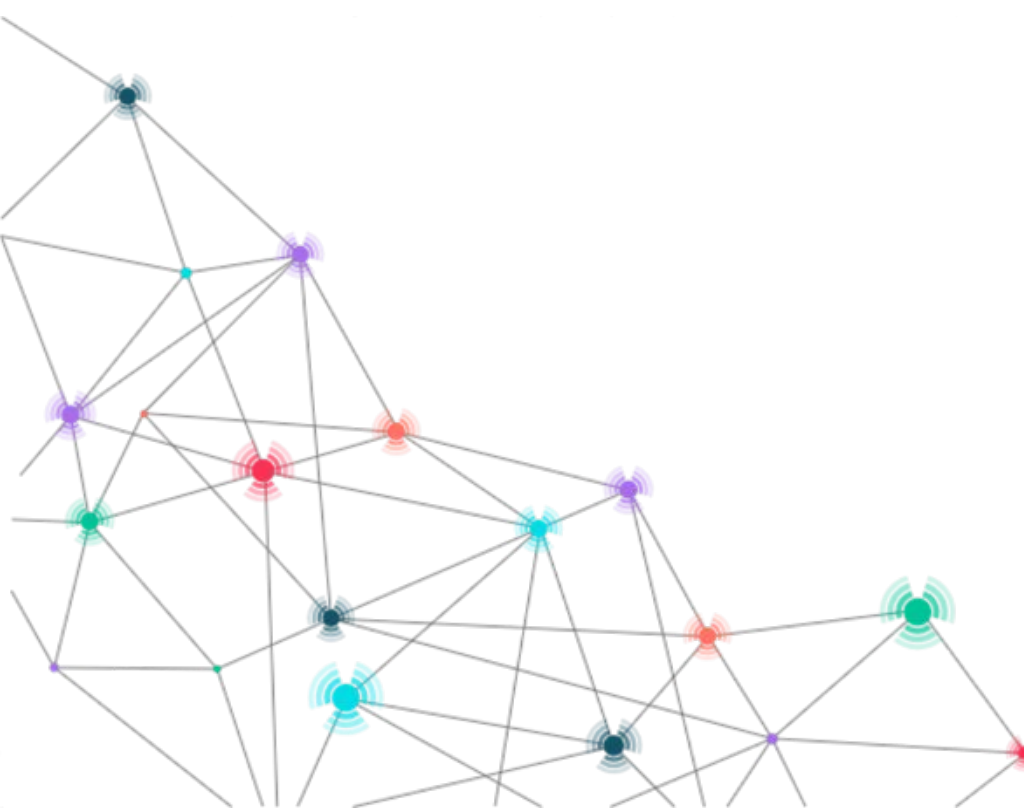
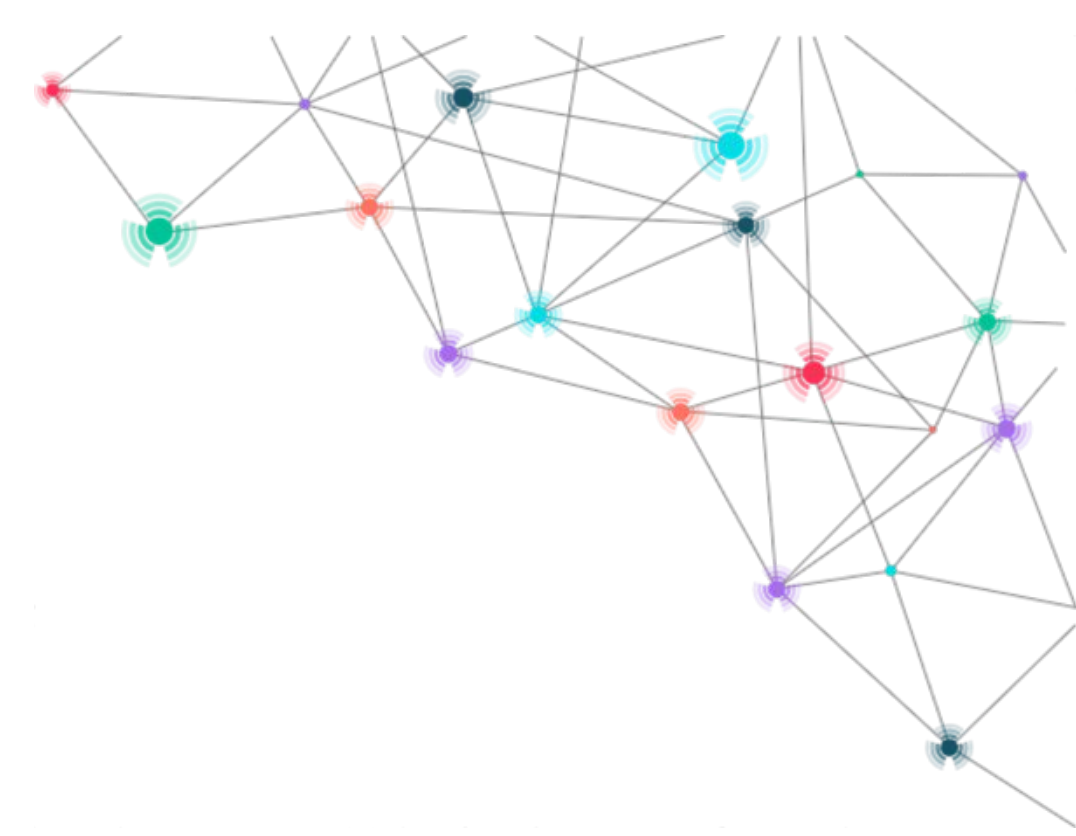
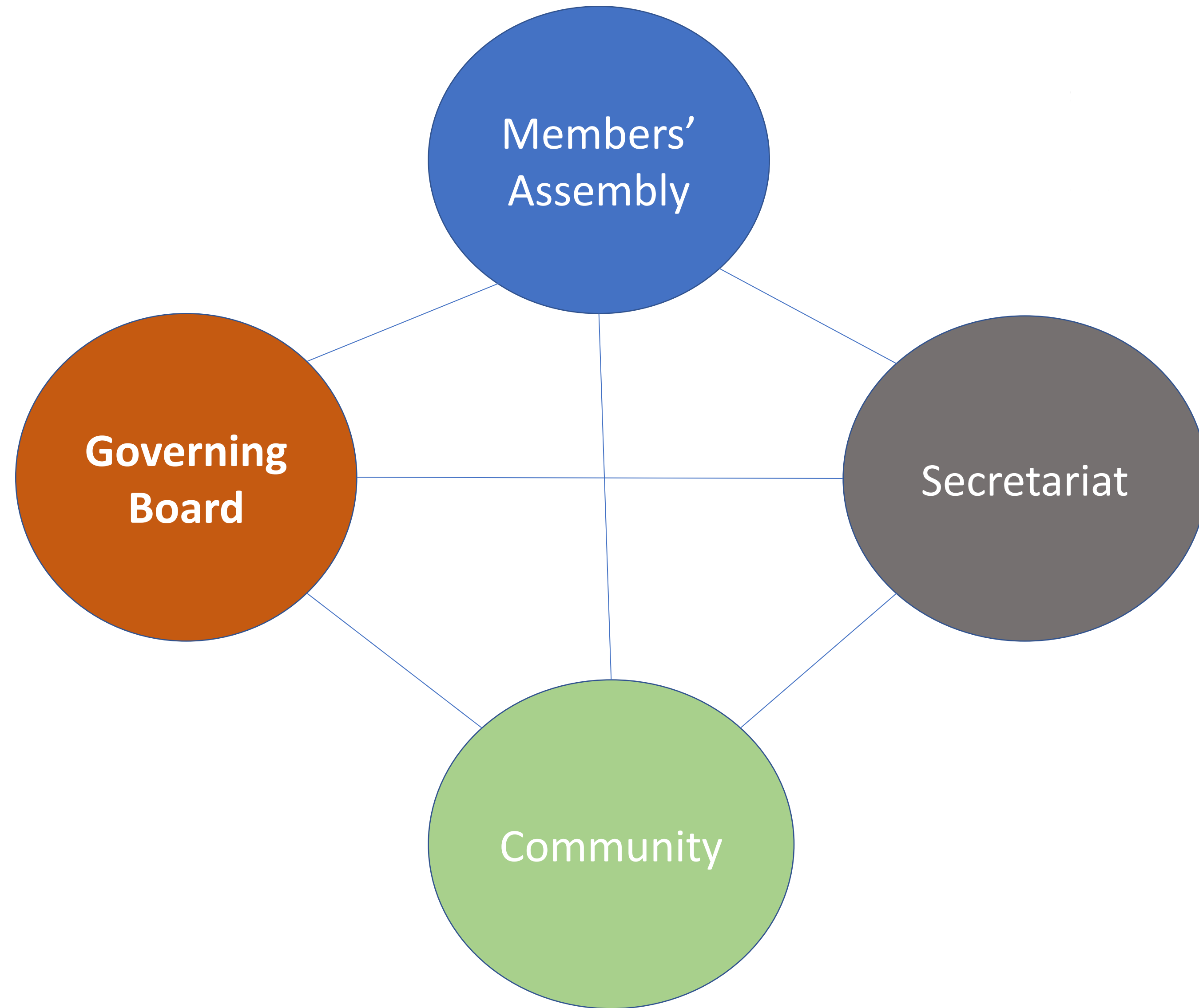


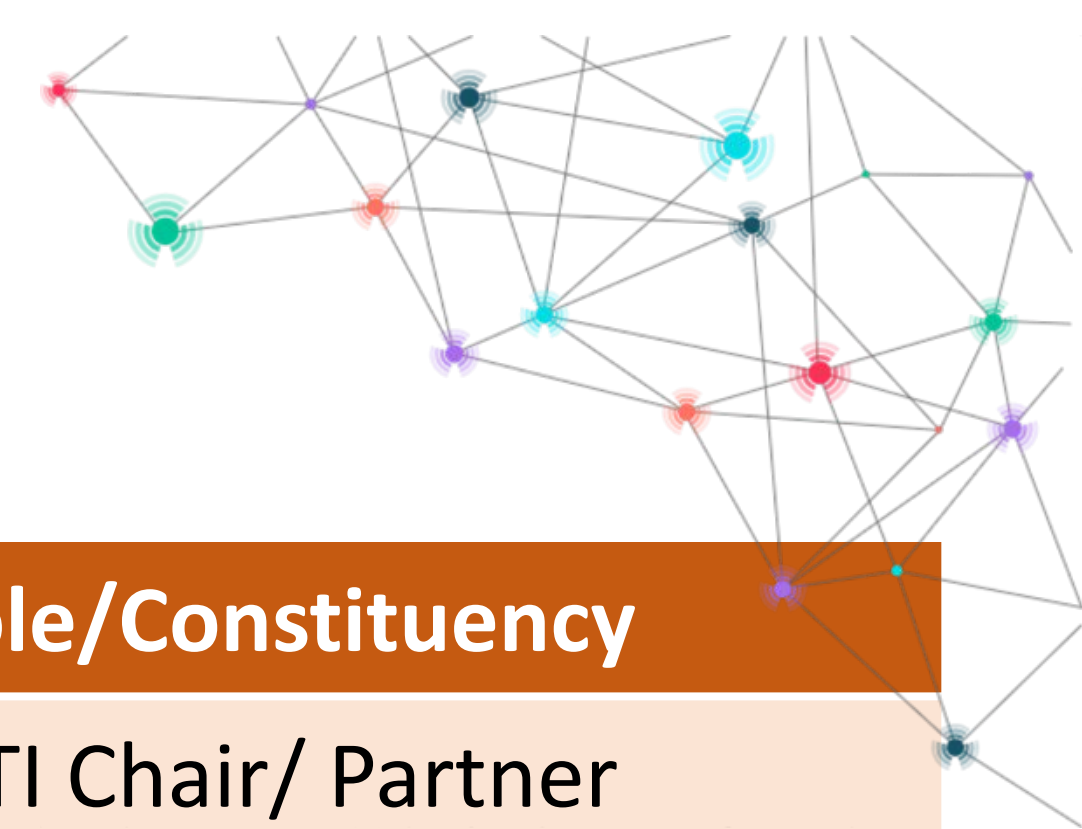
Members' Responsibilities and Authority	Governing Board Responsibilities and Authority
Approve Board recommendations on strategic direction and oversight, including the 5-year Strategic Plan and its results framework, changes to SOP and governance arrangements	Guides the development of the vision, mission and strategic direction to be approved by the Members' Assembly
Approve annual membership contribution amounts based on recommendation from the Board	Oversee and approve the annual work plan and budget , and authorize a drawdown of the contingency reserve fund
Provide expertise to support the Board's work, in particular through IATI working groups	Oversee implementation by the Secretariat of the approved IATI work plan and budget
Approve major upgrades to the Standard	Oversees and approves minor Standard upgrades
	Sign-off on Annual Report and commission independent evaluations
	Approve Working Group Terms of Reference and membership
	Approve membership contribution waivers or payment via participation/ travel cost in lieu of membership contribution for Partner Countries



IATI Stakeholders

- Seven representatives elected by Members
- Two members per constituency and one technical specialist






Governing Board – Current Composition

Name	Organization	Role/Constituency
Henry Asor NKANG	Government of Nigeria, Ministry of Finance, Budget and National Planning	IATI Chair/ Partner Country
Thea SCHEPERS	Senior Advisor IATI, Ministry of Foreign Affairs of the Kingdom of the Netherlands	IATI Vice-Chair/Providers of Development Cooperation
Pelle AARDEMA	Consultant, Open Works	Technical Seat
Joseph BARNES	Chief Programme Monitoring, Division of Data, Analytics, Planning and Monitoring United National Children’s Fund (UNICEF)	Providers of Development Cooperation
Winnie KAMAU	President, Association of Freelance Journalists	Civil Society Organisations
Innocent MUGABE	Government of Rwanda, Ministry of Finance and Economic Planning, External Finance Directorate	Partner Country
Charlie Martial NGOUNOU	Executive President, AfroLeadership	Civil Society Organisations

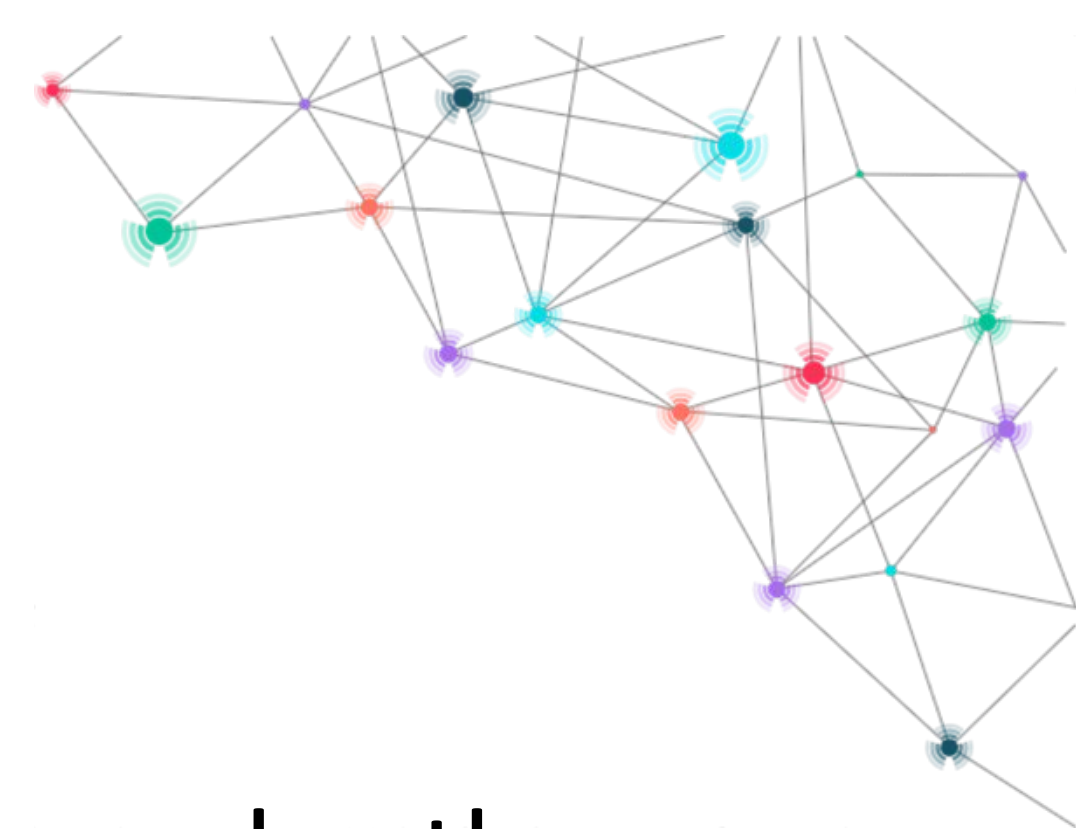
Governing Board Responsibilities & Authority



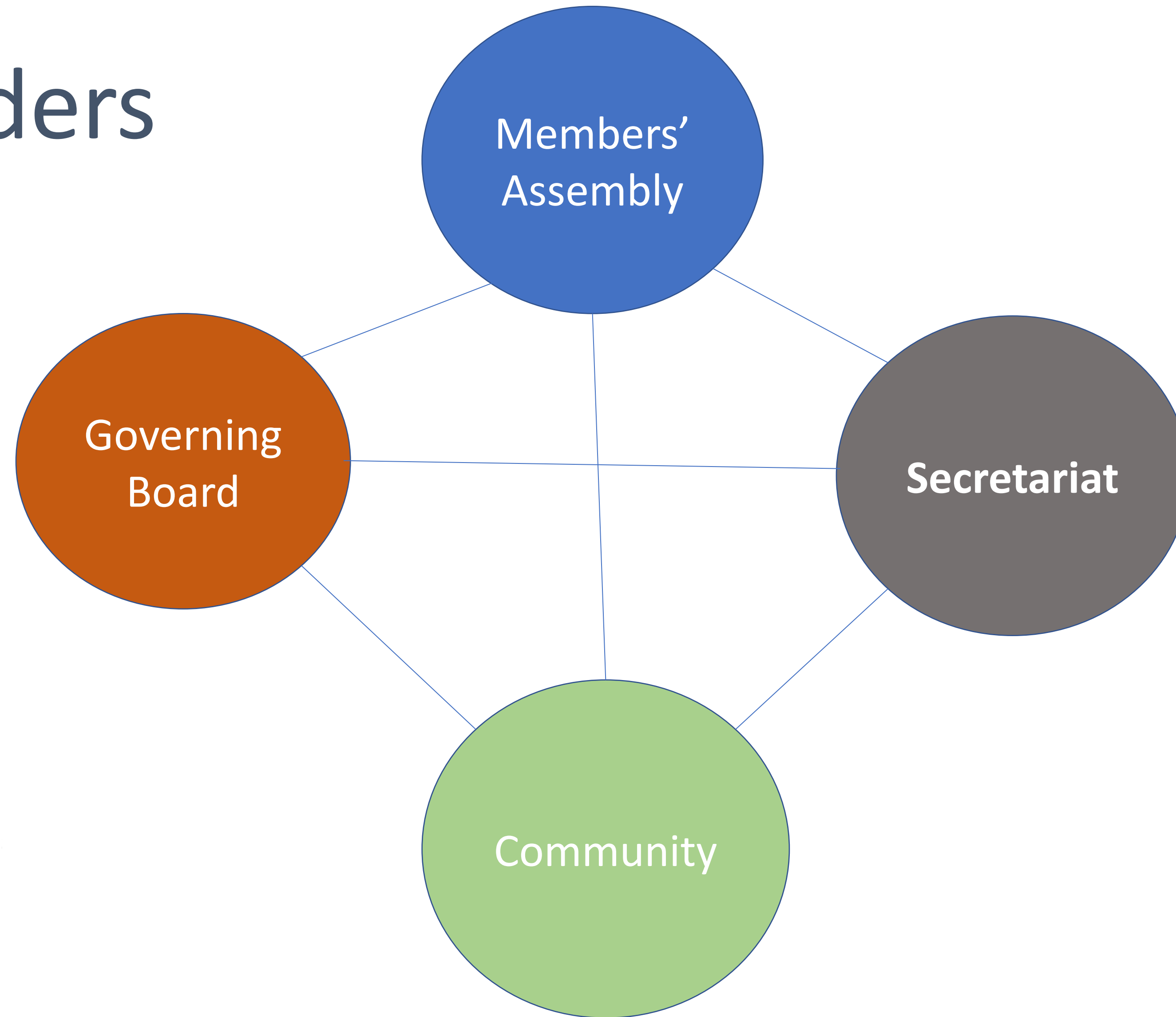
- Guides the development of the **vision, mission and strategic direction** to be approved by the Members' Assembly
 - Oversee and approve the **annual work plan and budget**, and authorize a drawdown of the **contingency reserve fund**
 - Oversee **implementation** by the Secretariat of the approved IATI work plan and budget
 - Oversees and approves **minor Standard** upgrades
 - Sign-off on **Annual Report** and commission independent evaluations
 - Approve Working Group Terms of Reference and membership
 - Approve **membership contribution waivers** or payment via participation/travel cost in lieu of membership contribution for Partner Countries
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Governing Board – Methods of Work

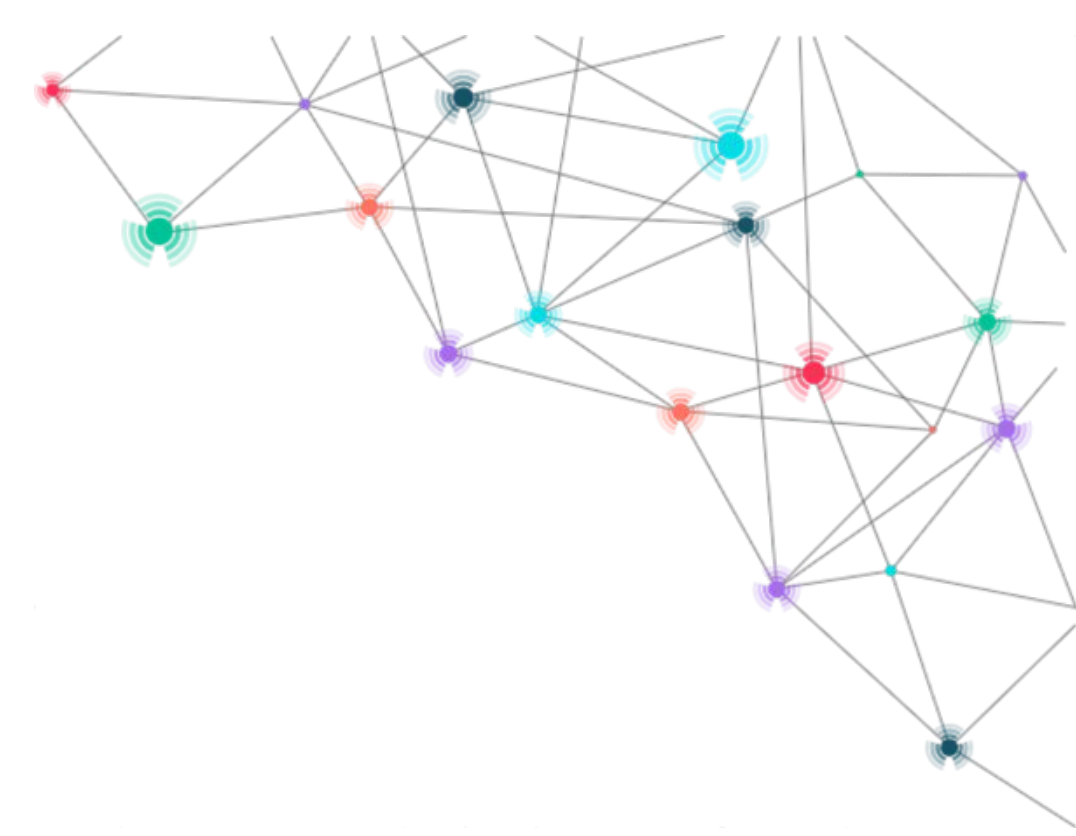
- Board meetings have a quorum of one member from each constituency plus the Chair or Vice-Chair (thus four)
- Decisions are usually by consensus; otherwise by simple majority.
- Board members adhere to a Code of Conduct that addresses potential situations of conflict of interest.
- Board meeting minutes are published on the IATI website.
- Board meetings are usually virtual, except those before the MA. Board members self-fund their attendance at the MA.



IATI Stakeholders

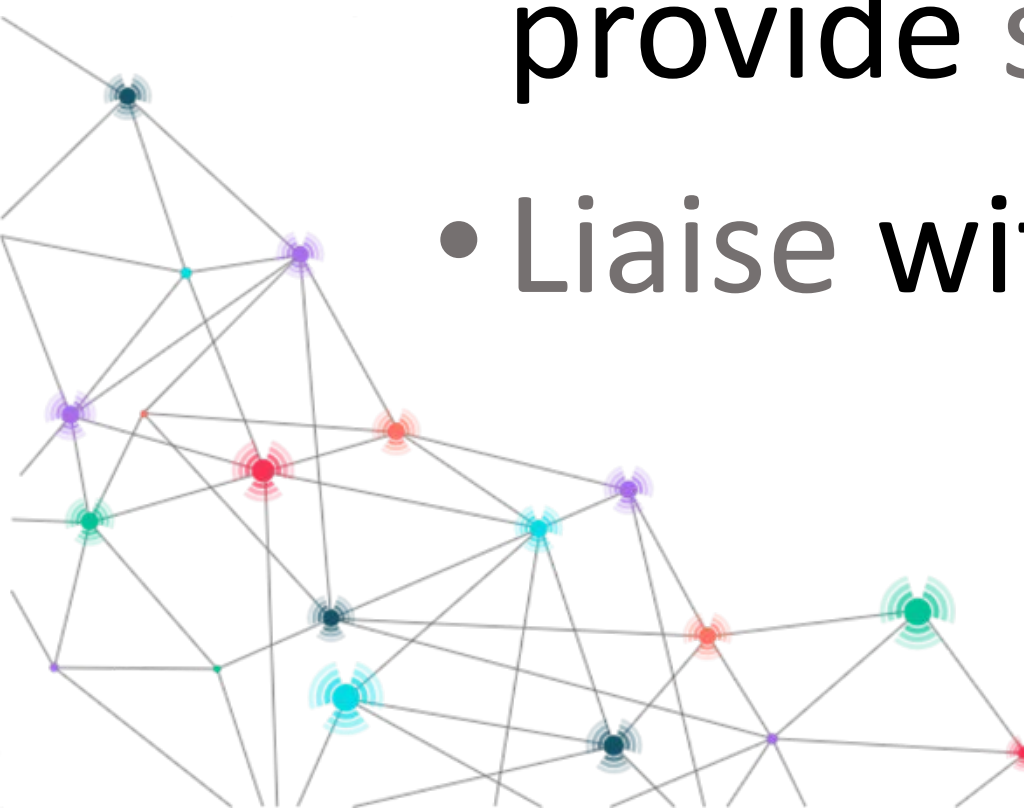


- Manages day-to-day running of IATI
- New Secretariat - subject of vote at this MA

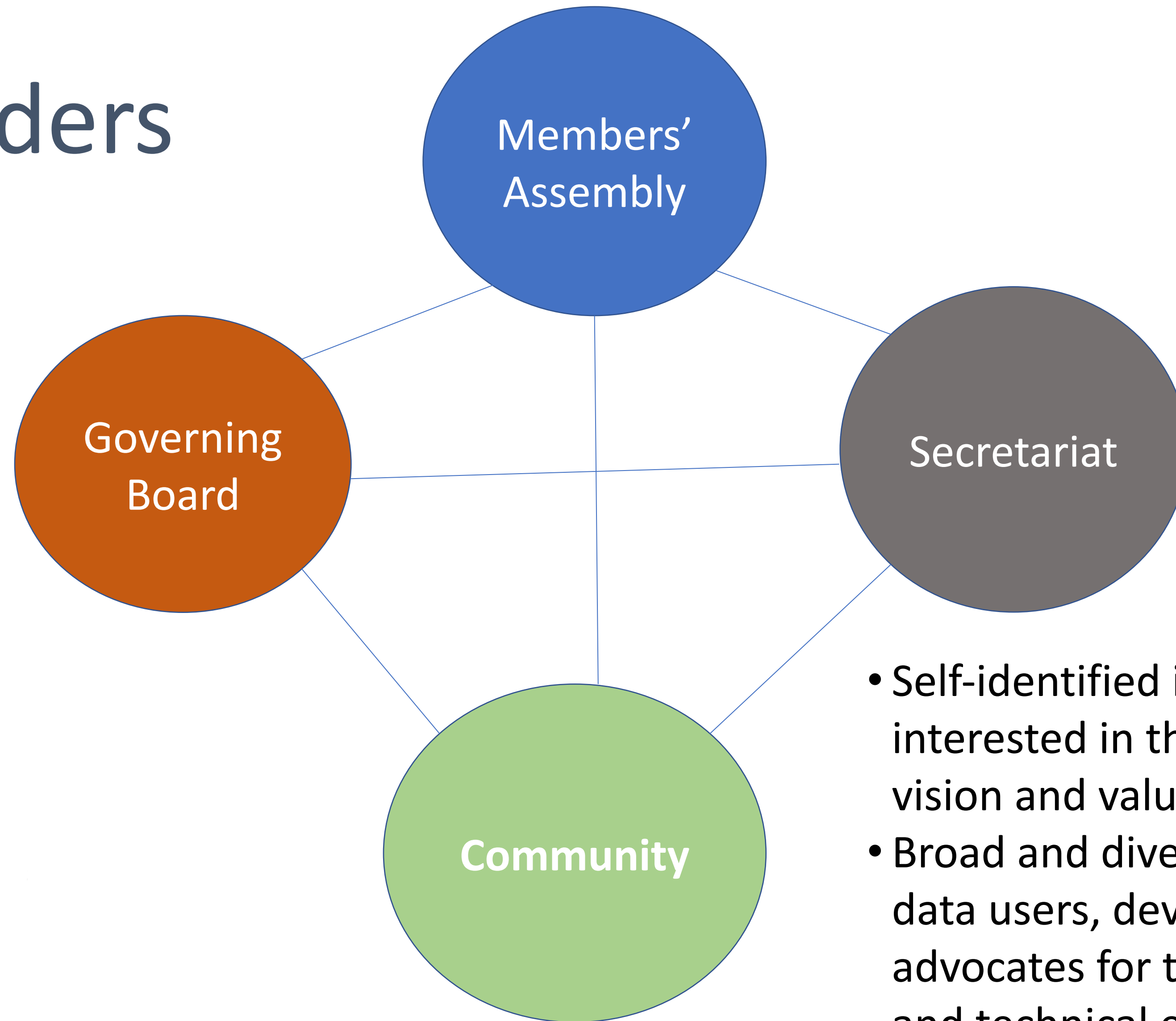


Secretariat Roles & Responsibilities

- Accountable to the Governing Board
- Implement the annual work plan and budget approved by the Board and report on progress
- Support the Governing Board and prepare Members' Assembly meetings
- Administer member contributions
- Manage IATI's technical infrastructure, maintain the IATI standard and provide support to data publishers and users
- Liaise with outside organisations relevant to IATI's mission



IATI Stakeholders



- Self-identified individuals or organizations interested in the fulfillment of the mission, vision and values of the initiative.
- Broad and diverse group - data publishers, data users, development practitioners, political advocates for transparency, open data experts and technical experts.
- Can be involved in some Working Groups

Community

- No formal role in IATI governance structure
- However, can be part of “Communities of Practice” and “Working Groups”
 - WG have mandate from Members Assembly or Governing Board to advise on specific areas of development within the IATI Standard
 - Working Groups report to the Board, which approves their Terms of Reference and membership
 - Governance-related WG are open only to IATI members, but those of a technical nature are open to community members
 - ToR set out specific goal, outcome and timeline
 - COP and WG governance is covered in the SOP (section 5)
- Community also crucial to understand and improve aid transparency e.g. user needs, data quality issues, etc.
- Ensures the availability of opportunities and for a systematic exchange and learning

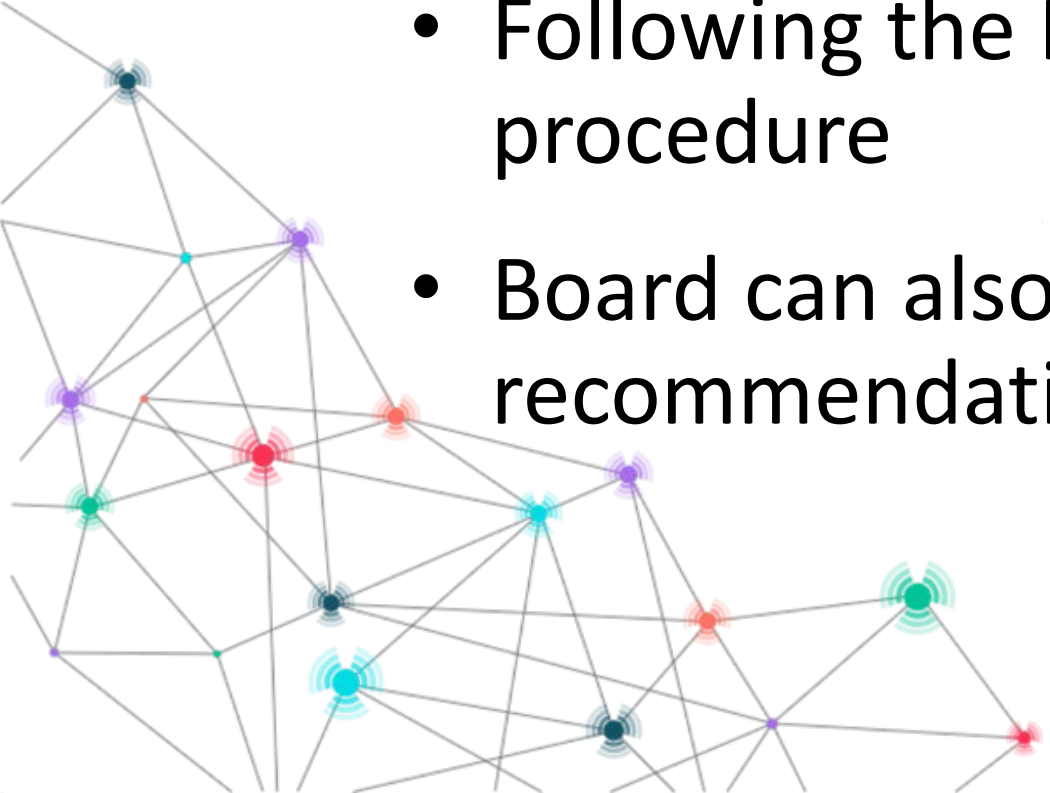
Standard Operating Procedures Fundamentals



What they are:

- First stop for any question on IATI governance – don't be scared, dive in!
- Codify how IATI is run, including:
 - Roles and responsibilities of Members' Assembly, Governing Board and Secretariat
 - Methods to make decisions and to elect Board members
 - Membership contributions for each constituency (and rules for waivers)
 - Board Code of Conduct
 - IATI Standard upgrade process

How they are updated:

- Most changes to SOP follow MA decisions: when decisions are made at the MA, changes required to the SOP to reflect or implement these decisions are identified
 - Following the MA, changes to SOP are prepared and shared with members for approval via written procedure
 - Board can also recommend “stand-alone” changes to SOP (not as a consequence of another recommendation), for instance on matters of membership, elections, or indeed the SOP amendment process
- 

Members' Assembly – Pre-Meeting Preparation




In the weeks before the MA, read:

- MA documents
- Strategic Plan
- Annual Budget
- Board minutes
- Previous MA minutes
- Standard Operating Procedures

□ **Questions on upcoming MA business?** Check on IATI Connect

Day before MA:

- Caucus with constituency before actual MA: opportunity to discuss issues, ask questions
 - Drinks/dinner with participants are also good opportunities for informal learning
- 

Resources

Governance section on **IATI website**:

<https://iatistandard.org/en/governance/>



Private section of **IATI Connect** for Members only:

<https://iaticonnect.org/IATI-Members-Group/about>

IN THIS SECTION

Finances

IATI workplan

Annual reports

Who runs IATI?

Governing Board meeting minutes

Governance documents

Technical Advisory Group (TAG)
documents

IATI Strategic Plan 2020-2025

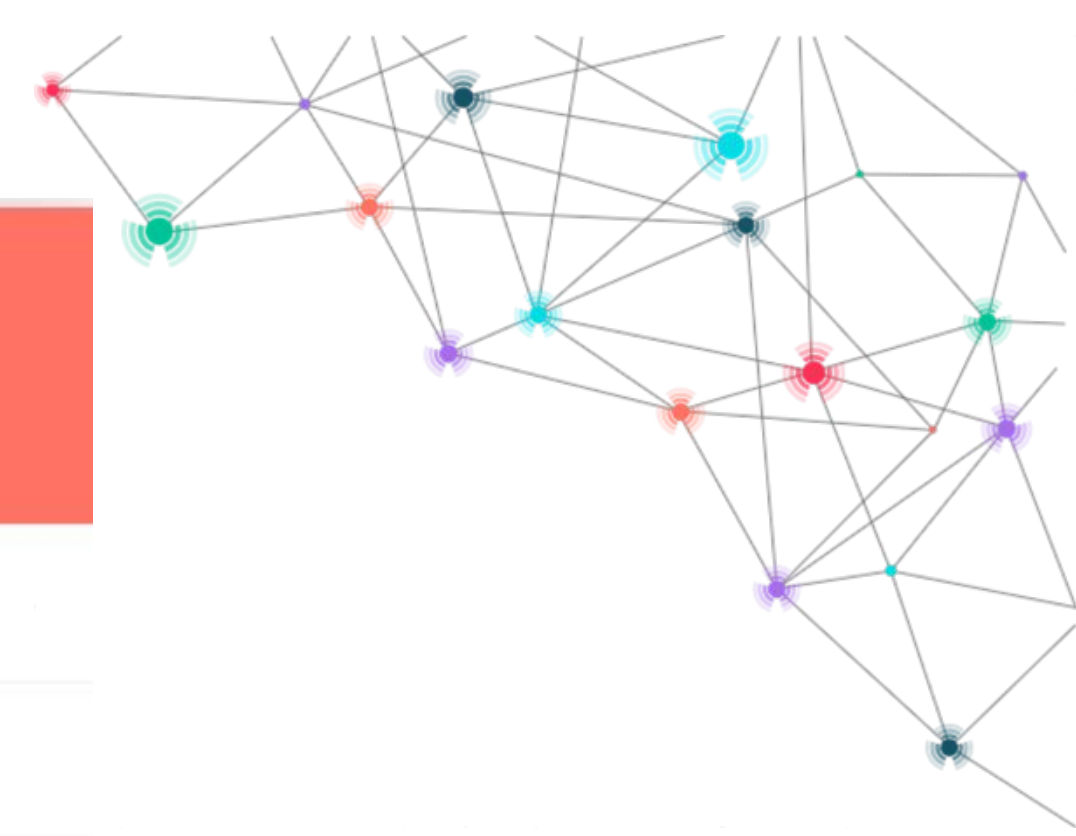
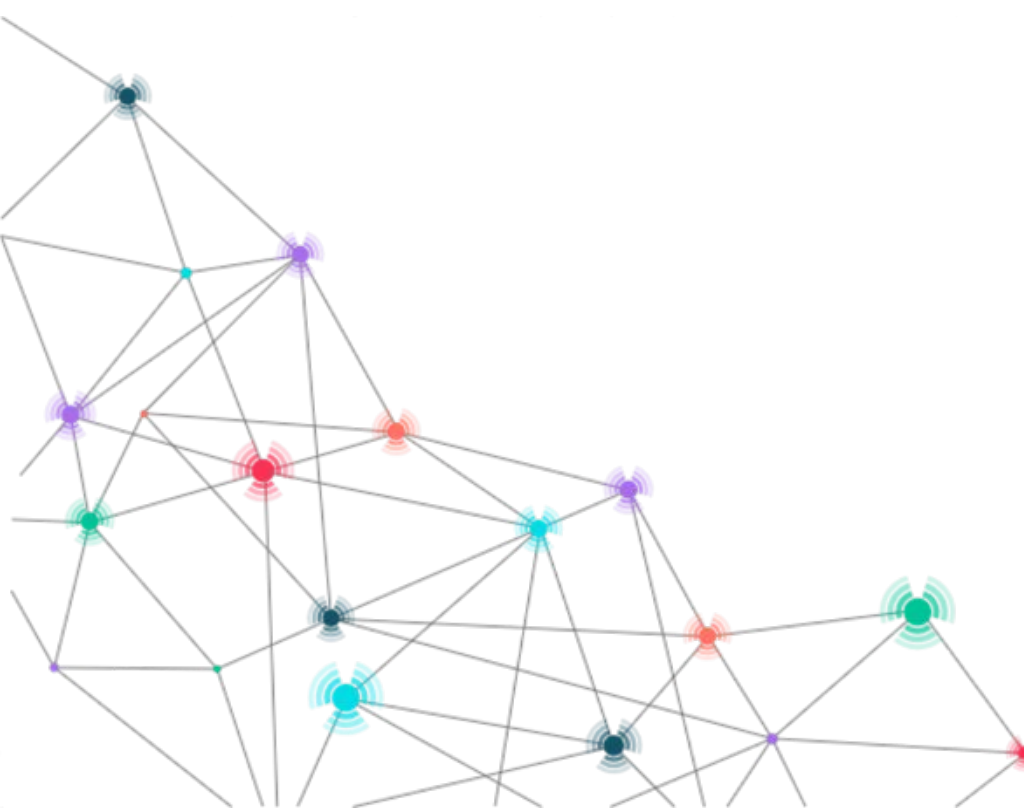
Members' Assembly

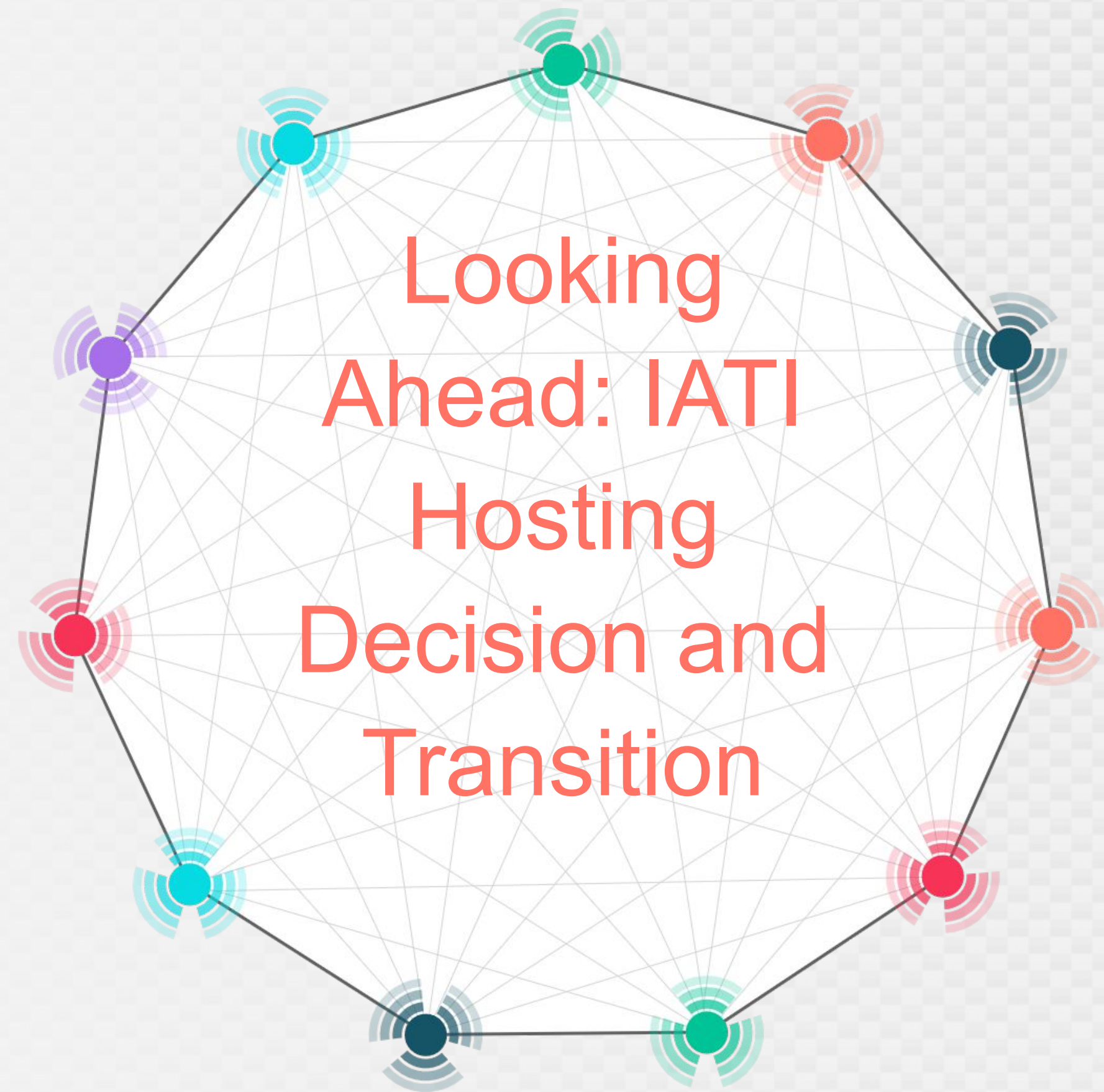
Membership

Members' Assembly documents

Governing Board Elections 2022

IATI future hosting arrangements
post 2022

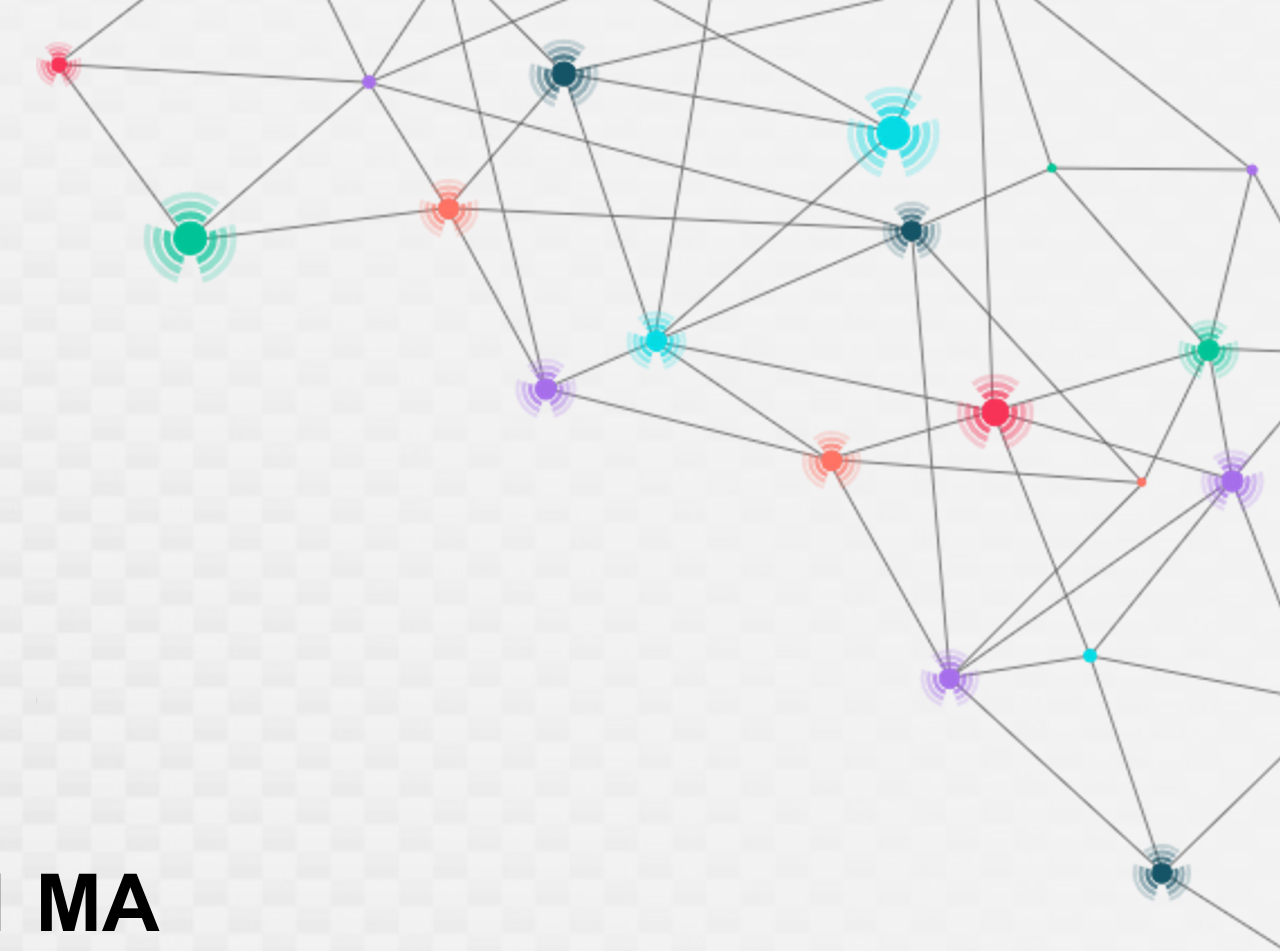




SESSION 3 Looking Ahead: IATI Hosting Decision and Transition

Speakers: Joseph Barnes (UNICEF) Governing Board;
Philip Drake, Transition Manager / IWG Evaluation Panel Secretary;
13 March 2023

The journey to this decision



Dec 2020 MA
Instigate IWG



Nov 2021
IWG recommendations
beyond 2022



Dec 2021 MA
5 year hosted arrangement
Sequential approach



June-Oct 2022
New IWG
Revised ToRs



April 2022
GB initiate 'search and
select' option



Mar 2022
IWG revised ToR



Oct-Dec 2022
IWG and GB outreach to
potential bidders



Jan 2023
Evaluation Panel



Feb 2023
GB review and
recommendation



Mar 2023 MA
Vote

IWG recommended a hosted arrangement with **clear lines of accountability** through a single Executive Director

Members directed that IATI remain a hosted initiative for at **least 5 years** from the end of the current hosting arrangement

The MA approved a **search and selection process** on the basis of the finalised ToRs, undertaken through a combination of shortlisting and interviews with shortlisted organisations.

Current hosts agreed to support an extended **transition phase** under a transition manager and were explicitly encouraged to apply

Background to Bid Process

The GB constituted an **evaluation panel** of two members of the IWG and three Board members to implement the instructions of the **MA decision** to undertake a search and selection process for new hosts

A set of **assessment criteria** for the evaluation of proposals was developed by IWG and GB against which bids would be evaluated

Terms of Reference (split into two complementary documents: **policy and technology, and operations and legal**) agreed by Members

Both **ToRs** were published simultaneously in **October 2022**.

The Bidding Process Summary

PURPOSE

The purpose was to elicit bids for the provision of effective policy, technology, legal and operational services to provide IATI's hosting arrangements /secretariat for a 5-year period;

2 discrete elements

The terms of reference (ToRs) comprising the invitation to tender for the new hosting arrangements were separated into 2 discrete elements:

ToR for
**policy and
technology
services**

ToR for the
provision of **legal
and operational
support**

Bidding and Evaluation Timeline

- The ToRs were published on **24 October 2022** on the IATI website.
- **Bidders conference** held & outreach by IWG, GB & members in **December 2022**
- The **closing date for receipt of bids was 6 January 2023.**
- An **initial review and scoring** of received bids was undertaken by the Evaluation Panel (EP) in accordance with their established evaluation criteria.
- Bidders were then invited by the EP to **provide additional clarifying information supporting their bids by 31 January**, later extended to 2 February.
- The Evaluation Panel then held **interviews with these bidders to discuss proposals and clarifications received on 7 February 2023.**
- **EP prepared a report of its assessment** of the bids received to the Governing Board
- The Governing Board has undertaken an options appraisal for the Members and it is these options for future hosting arrangements that the Board is asking the **Members to vote on tomorrow.**



Bid Responses Received

Four responses were received by the deadline of 6 January 2023 from:

RESPONSE

1. Development Initiatives* (Policy & Technology Services ToR);

RESPONSE

2a. UNDP (Policy & Technology Services ToR);

RESPONSE

2b. UNDP (Legal & Operational Services ToR);

RESPONSE

3. UNOPS (Legal & Operational Services ToR).

*The Development Initiatives submission was not a direct response to the published ToRs but rather a list of reflections on and suggested improvements for the new hosting arrangements.

Evaluation of Bids

Bids were assessed against evaluation criteria designed to critically assess the capability of each bidder to provide the best services to IATI in terms of quality and cost.

To do this the Evaluation Panel:

The minimum quality threshold for bids was 60%

Reviewed each bid response in detail;

Scored bids on the basis of the weighted criteria for each ToR;

Ranked the bidders in accordance to their criteria performance; and

Asked for **clarifications** and held interviews with the highest ranking bidders to confirm the evaluation findings.

Outcome of Quality Evaluation of Bids

The weighted score following the formal evaluation of bids was as follows:

UN DP's policy and technology bid met the minimum cumulative score required (74%)

UNDP's legal and operations bid did not meet the minimum cumulative score required (47%) to meet the defined quality threshold.

UNOPS' legal and operations bid met the minimum cumulative score required (74%)

On the basis of the this, the EP assessed that IATI could enter into a LoA for Policy and Technology hosting services with UNDP as winning bidder and similarly the provision of IATI Legal and Operational hosting services be given to UNOPS.

Options Appraisal by the Governing Board



The Board moderated and accepted the Evaluation Panel report

In line with the decision to undertake a **search and selection** process, the Governing Board gave consideration to:

- the assessment of the Evaluation Panel and follow-up discussions with bidders
- the collective recommendations of Institutional Working Groups
- the decisions and intent of Members' Assemblies
- the management of risks
- the centrality of the Members' Assembly in determining the best interests of IATI

Weighing up all inputs, the Board feels that there are **three options that represent a realistic range of possibilities** for the next stage in selecting a host.

Options for Consideration by Members

Option 1:

Implement the outcome of the formal bidding and evaluation process for the new hosting arrangement by:

- i) preparing and signing a letter of agreement for the provision of IATI policy and technology hosting services with UNDP
- ii) preparing and signing a letter of agreement for the provision of IATI legal and operational hosting services to UNOPS

Option 2:

Further examine the viability of UNDP to act as sole IATI host for policy, technology, legal and operational services

Option 3:


Cancel the current bidding process to select new hosts and that the current hosting arrangements be extended for sufficient time to republish the terms of reference and undertake direct search, selection and negotiation with an alternative host.

Next Steps

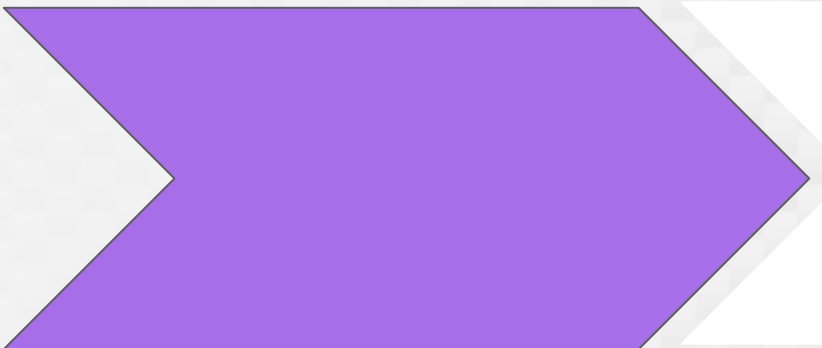
The transition process will continue with the following:



Members vote on options presented



Transition project plans reviewed and if necessary revised in light of Members' vote



Formal transition period to continue to run until the end of Q2 2023



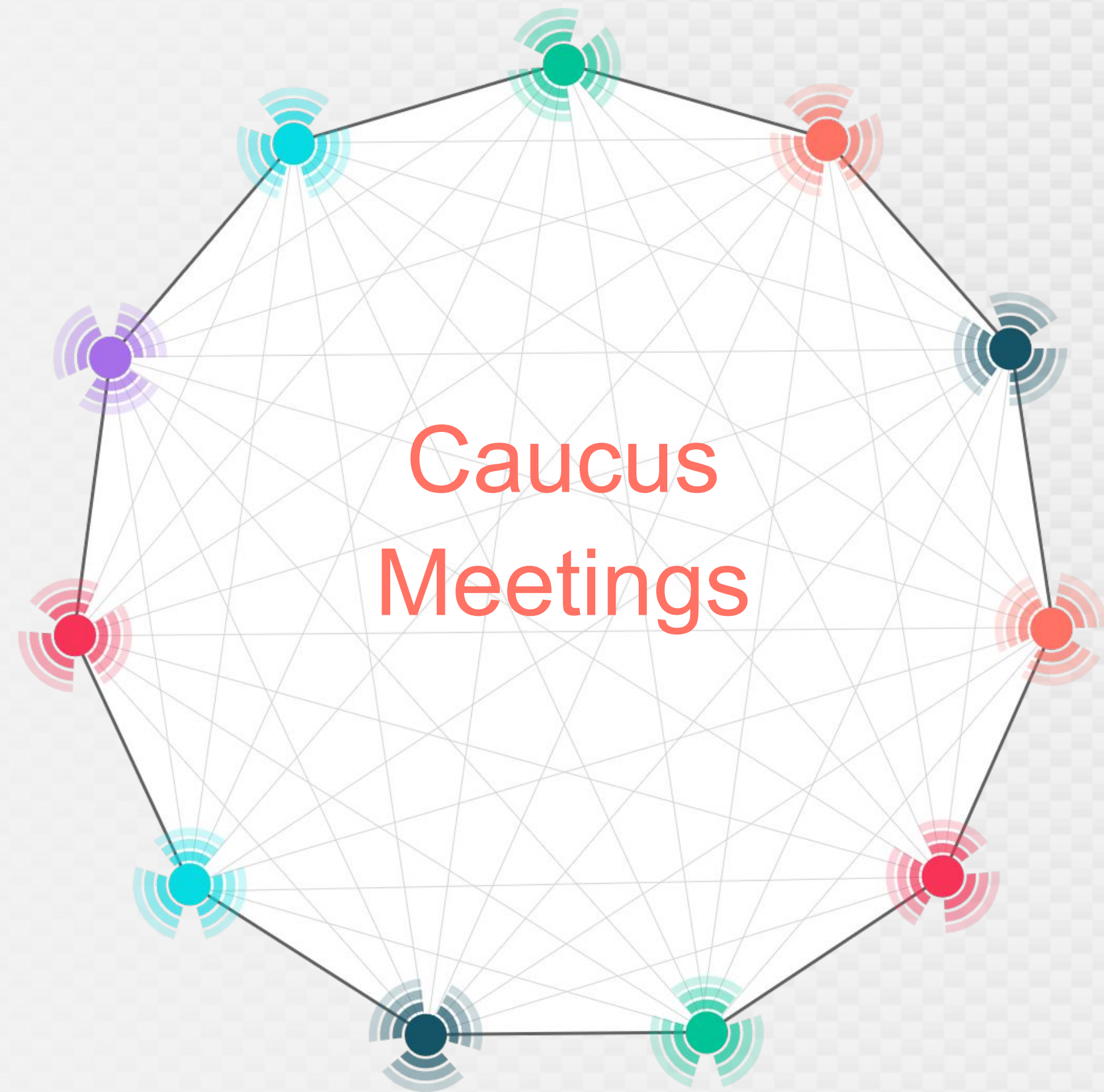
Transition close-out to continue until end of Q4 2023



ANY QUESTIONS

Coffee Break *(15 minutes)*





SESSION 4: Caucus Meetings

- (1) Partner Countries (Auditorium 3)
- (2) Civil Society Organisations and Others (VIP Room)
- (3) Providers of Development Assistance (Auditorium 1)